130

Student Educational Record (Transcript)

Functional Group=ED

This Draft Standard for Trial Use contains the format and establishes the data contents of a Student Educational Record (Transcript) Transaction Set (130) for use within the context of an Electronic Data Interchange (EDI) environment. The student transcript is used by schools and school districts and by post-secondary educational institutions to transmit current and historical records of educational accomplishments and other significant information for students enrolled at the sending schools and institutions. The transcript may be sent to other educational institutions, to other agencies, or to prospective or current employers.

When a student transfers from one educational institution to another, it is essential that the student's prior educational record be made available to the institution to which the student wishes to transfer. For students in Pre-Kindergarten through Grade 12, prompt records transfer will assist receiving schools in making an appropriate initial educational placement of student. It is important that this information be available on a timely basis. In some cases for post-secondary students, it is this record which determines whether the student will be accepted at the receiving institution. In all cases, it is important to know what course work the student has already completed so that the student may be properly placed in courses and educational programs at the next institution.

When a student seeks employment or wishes to inform another agency of the student's academic accomplishments, the student transcript may be sent to a prospective employer, branch of the military, or another government agency.

The student transcript contains personal history and identifying information about the student, the current academic status, dates of attendance, courses completed with grades earned, degrees and diplomas awarded, health information (Pre-Kindergarten through Grade 12 only), and testing information.

Heading (Table 1):

Pos	<u>Id</u>	Segment Name	Req	Max Use	Repeat	Notes	<u>Usage</u>	<u>Page</u>
010	ST	Transaction Set Header	M	1			Must use	
020	BGN	Beginning Segment	M	1			Must use	
030	ERP	Educational Record Purpose	M	1		N1/030	Must use	
040	REF	Reference Identification (Student Identification Numbers)	M	10		N1/040	Must use	
050	DMG	Demographic Information	O	1			Used	
060	LUI	Language Use	O	>1			Used	
065	IND	Additional Individual Demographic Information	O	2			Used	
066	DTP	Date or Time or Period	O	1			Used	
067	RAP	Requirement, Attribute, and Proficiency	O	10			Used	
070	PCL	Previous College	O	30			PostSec Only	
080	NTE	Note/Special Instruction	О	100			Used	
LOOP II) - N1				2			
110	N1	Name (Sending/Receiving Institution)	M	1		N1/110	Must use	
120	N2	Additional Name Information	O	1			Used	
130	N3	Address Information (Street Address of Sending/Receiving Institution)	О	1			Used	
140	N4	Geographic Location	O	1			Used	
150	PER	Administrative Communications Contact	О	1			Used	
LOOP II) - IN1				15			
160	IN1	Individual Identification	M	1		N1/160	Must use	
165	EMS	Employment Position	O	5			K12 Only	

170	IN2	Individual Name Structure Components	M	10		Must use
LOOP II	D - N3				5	
180	N3	Address Information	O	1		Used
190	N4	Geographic Location	O	1		Used
195	PER	Administrative Communications Contact	O	10		Used
200	REF	Reference Identification	O	10		K12 Only
210	NTE	Note/Special Instruction	0	1		Used
LOOP II	D - SST				500	
220	SST	Student Academic Status	О	1		Used
230	SSE	Entry and Exit Information	O	1000	N1/230	Used
240	N1	Name	O	1		Used
250	N3	Address Information	O	1		Used
260	N4	Geographic Location	O	1		Used
LOOP II	D - ATV				100	
LOOP II 265	D - ATV ATV	Student Activities and Awards	О	1	100	Used
		Student Activities and Awards Date or Time or Period	0	1 2	100 N1/267	
265	ATV DTP					
265 267	ATV DTP				N1/267	
265 267 LOOP II	ATV DTP D - TST TST	Date or Time or Period	0	2	N1/267	Used
265 267 LOOP II 270	ATV DTP D - TST TST	Date or Time or Period	0	2	N1/267	Used
265 267 LOOP II 270	ATV DTP D - TST TST D - SBT	Date or Time or Period Test Score Record	0	1	N1/267	Used
265 267 LOOP II 270 LOOP II 280	ATV DTP D - TST TST D - SBT SBT	Date or Time or Period Test Score Record Subtest	0	1	N1/267	Used Used Used
265 267 LOOP II 270 LOOP II 280 290	ATV DTP D - TST TST D - SBT SBT SRE NTE	Date or Time or Period Test Score Record Subtest Test Scores	0 0 0	1 1 3	N1/267	Used Used Used Used Used
265 267 LOOP II 270 LOOP II 280 290 300	ATV DTP D - TST TST D - SBT SBT SRE NTE	Date or Time or Period Test Score Record Subtest Test Scores	0 0 0	1 1 3	N1/267	Used Used Used Used Used

Detail (Table 2):

Pos	<u>Id</u>	Segment Name	Req	Max Use	Repeat	Notes	<u>Usage</u>
LOOP II	D - LX				1		
010	LX	Assigned Number	О	1			Used
020	HS	Health Screening	O	10			K12 Only
030	IMM	Immunization Status Code	O	1000			Used
LOOP II	D - HC				1000		
040	HC	Health Condition	О	1			K12 Only
050	N1	Name (Health Service Provider)	O	1			K12 Only
060	N2	Additional Name Information (Health Care	O	1			K12 Only
		Provider)					
070	PER	Administrative Communications Contact	O	1			K12 Only
080	N3	Address Information	O	1			K12 Only
090	N4	Geographic Location	О	1			K12 Only
LOOP II	D - SP				30		
100	SP	Special Program	О	1			K12 Only
110	PER	Administrative Communications Contact	O	1			K12 Only
120	N3	Address Information	O	1			K12 Only
130	N4	Geographic Location	O	1			K12 Only
140	NTE	Note/Special Instruction	O	1		N2/140	K12 Only

LOOP II	D - OPS				10	
150	OPS	Program Subject Area and Eligibility	О	1	N2/150	K12 Only
160	OPX	Placement Criteria	O	2	N2/160	K12 Only
170	DTP	Date or Time or Period	О	10	N2/170	K12 Only
LOOP II	D - SES				1000	
180	SES	Academic Session Header	О	1		Used
185	SSE	Entry and Exit Information	O	1		Used
190	NTE	Note/Special Instruction	O	50		Used
200	N1	Name (Override Institution)	O	1	N2/200	Used
210	N3	Address Information	O	1		Used
220	N4	Geographic Location	O	1		Used
LOOP II	D - SUM				5	
230	SUM	Academic Summary	О	1	N2/230	Used
240	NTE	Note/Special Instruction	O	5		Used
LOOP II	D - CRS				50	
250	CRS	Course Record	О	1		Used
260	REF	Reference Identification	O	5		Used
270	CSU	Supplemental Course Data	O	1		Used
271	LUI	Language Use (Language of Instruction)	O	10		Used
272	RAP	Requirement, Attribute, and Proficiency	O	5		Used
280	NTE	Note/Special Instruction	O	50		Used
290	N1	Name (Override Institution or Agency)	O	1	N2/290	Used
300	N4	Geographic Location	O	1		Used
LOOP II	D - MKS				10	
305	MKS	Marks Awarded	О	1		Used
306	LUI	Language Use (Language of Examination)	О	1		Used
LOOP II	D - DEG				10	
310	DEG	Degree Record	О	1		PostSec Only
320	SUM	Academic Summary	O	5		PostSec Only
330	FOS	Field of Study	O	30		PostSec Only
335	N1	Name (Name of Institution Awarding Degree)	O	1	N2/335	PostSec Only
340	NTE	Note/Special Instruction	O	30		PostSec Only
350	SE	Transaction Set Trailer	M	1		Must use

Notes:

- 1/030 The ERP segment is used to indicate what type of student record is being sent.
- 1/040 The REF segment includes the student identification numbers.
- 1/110 The N1 loop identifies the sending and receiving institutions.
- 1/160 The IN1 and IN2 segments indicate the name(s) of the student or other individual agency.
- 1/230 The occurrence of the SSE segment indicates the dates of the PreKindergarten Grade 12 student's entry and exit from the school or school district.
- 1/267 The DTP segments indicate the beginning and ending dates for involvement in an activity or the date the award or honor was granted.
- 2/140 The NTE segment provides additional information about special programs.
- 2/150 The OPS segment provides subject area and other information for the special program identified in the SP segment.
- 2/160 The OPX segment provides reasons for placing/removing the student in/from the program or subject area.
- 2/170 The DTP segment provides dates associated with the program or subject area.
- 2/200 The N1, N3, and N4 segments specify the override institution.
- 2/230 This SUM segment is for the summary for the academic session.
- 2/290 The N1 and N4 segments specify the override institution.
- 2/320 This SUM segment is for the summary for the degree.
- 2/335 The N1 segment specifies the institution awarding the degree.

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ST Transaction Set Header

Pos: 010 Max: 1 Heading - Mandatory Loop: N/A Elems: 2

To indicate the start of a transaction set and to assign a control number

Element Summary:

Ref_	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
ST01	143	Transaction Set Identifier Code Description: Code uniquely identifying a Transaction Set Code NAME 130 Student Educational Record (Transcript)	M	ID	3/3	Must use
ST02	329	Transaction Set Control Number Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	M	AN	4/9	Must use
		Note to User: This is the identifying control number assigned and maintained by the sender of the transaction set. This value is assigned by the sender in such a way that it is unique to the transaction being sent. This value must match that in data element SE02. It should be returned in BGN02 of TS131 (Student Educational Record (Transcript) Acknowledgment) and AK202 of TS997 (Functional Acknowledgment).				

Semantics:

^{1.} The transaction set identifier (ST01) used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 130 selects the Student Educational Record (Transcript) Transaction Set).

BGN Beginning Segment

Pos: 020 Max: 1 Heading - Mandatory Loop: N/A Elems: 9

To indicate the beginning of a transaction set

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
BGN01	353	Transaction Set Purpose Code Description: Code identifying purpose of transaction set Code NAME 00 Original 05 Replace 07 Duplicate 11 Response 15 Re-Submission 18 Reissue ZZ Mutually Defined	M	ID	2/2	Must use
BGN02	127	Reference Identification Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Note to User: If the TS130 is in response to a TS146 (Request for Student Educational Record (Transcript)), then this value is the same as the ST02/SE02 Transaction Set Control Number of that TS146. If, however, the TS130 is not sent in response to a TS 146, then this is a reference number or identification number assigned by the sender of the TS130. In either case, the acknowledgment process for the TS130 requires that this value be returned to the sender of the TS130 in the REF02 of the TS131 (Student Educational Record (Transcript) Acknowledgment).	M	AN	1/30	Must use
BGN03	373	Date Description: Date expressed as CCYYMMDD Note to User: This is the date the transaction was originated by the sender.	M	DT	8/8	Must use
BGN04	337	Time Description: Time expressed in 24-hour clock time as follows: HHMM, or HHMMSS, or HHMMSSD, or HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99) Note to User: This is the time the transaction was originated by the sender.	X	TM	4/8	Used
BGN05	623	Time Code Description: Code identifying the time zone.	0	ID	2/2	Used

Ref _	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>
		Note to User: This indicates the time zone or type of time in BGN04.				
		Code NAME				
		AD Alaska Daylight Time				
		AS Alaska Standard Time				
		AT Alaska Time				
		CD Central Daylight Time				
		CS Central Standard Time				
		CT Central Time ED Eastern Daylight Time				
		ED Eastern Daylight Time ES Eastern Standard Time				
		ES Eastern Standard Time ET Eastern Time				
		GM Greenwich Mean Time				
		HD Hawaii-Aleutian Daylight Time				
		HS Hawaii-Aleutian Standard Time				
		HT Hawaii-Aleutian Time				
		LT Local Time				
		MD Mountain Daylight Time				
		MS Mountain Standard Time				
		MT Mountain Time				
		ND Newfoundland Daylight Time				
		NS Newfoundland Standard Time				
		NT Newfoundland Time				
		PD Pacific Daylight Time				
		PS Pacific Standard Time				
		PT Pacific Time				
		TD Atlantic Daylight Time				
		TS Atlantic Standard Time				
		TT Atlantic Time				
		UT Universal Time Coordinate				
BGN06	127	Reference Identification	О	AN	1/30	Not used
		Description: Reference information as defined for a particular				
		Transaction Set or as specified by the Reference Identification Qualifier				
BGN07	640	Transaction Type Code	0	ID	2/2	Not used
		Description: Code specifying the type of transaction				
BGN08	306	Action Code	0	ID	1/2	Not used
		Description: Code indicating type of action				
BGN09	786	Security Level Code	0	ID	2/2	Not used
		Description: Code indicating the level of confidentiality assigned by the				
	1	sender to the information following	Ì	1	1	

Syntax:

C0504 -- If BGN05 is present, then BGN04 is required

Semantics:

1. BGN02 is the transaction set reference number.

- 2. BGN03 is the transaction set date.
- 3. BGN04 is the transaction set time.
- $4. \quad BGN05 \ is \ the \ transaction \ set \ time \ qualifier.$
- 5. BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction.

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ERP Educational Record Purpose

Pos: 030 Max: 1 Heading - Mandatory Loop: N/A Elems: 3

To indicate the type of educational record or information being requested or being sent and to specify conditions under which it is being requested or was sent

Element Summary:

<u>Ref</u>	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>
ERP01	640	Transaction Type Code Description: Code specifying the type of transaction Note to User: This code identifies the type of transcript or student record being sent. Code NAME DP District to Postsecondary Student Record PS Postsecondary Student Academic Record	M	ID	2/2	Must use
ERP02	641	Status Reason Code Description: Code indicating the status reason Note to User: This code specifies the reason the transcript or student record is being sent. Code NAME 053 Notice of Term Enrollment 054 Term Grade Report B44 Part of requested record being sent; Remainder to be sent by hard copy B48 Record being sent at request of student B49 Record being sent to replace one previously sent B50 Requested record being sent	0	ID	3/3	Used
ERP03	306	Action Code Description: Code indicating type of action	0	ID	1/2	Not used

Semantics:

- 1. ERP01 identifies the type of transaction set.
- 2. ERP02 indicates why the record or information is being sent or not being sent.

REF

Reference Identification (Student Identification Numbers)

Pos: 040 Max: 10 Heading - Mandatory Loop: N/A Elems: 4

To specify identifying information

REF01	128	Reference Identification Qualifier	M		<u>X</u>	
		Note to User: This code identifies the type of student identification number being transmitted in REF02. At most locales, this will be the "student number" reflected in the database of the sending institution. Identification of individuals other than the student should be included in the IN1 segment at position 01/160. If the TS 130 is in response to a TS 146 and the value in REF02 is the same value received in the BGN02 of that TS 146, REF01 would be "F8". Code NAME Employee Identification Number 30 United States Government Visa Number 48 Agency's Student Number. This is the number assigned by an agency other than the institution sending the record. 49 Family Unit Number 4A Personal Identification Number (PIN) 50 State Student Identification Number 56 Corrected Social Security Number 57 Prior Incorrect Social Security Number 58 Original Reference Number LR Local Student Identification Number LR Local Student Identification Number SY Social Security Number SOcial Security Number SY So		ID	2/3	Must use
REF02	127	Reference Identification Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Note to User: If the TS 130 is in response to a TS 146, this value may be the same value received in the BGN02 of that TS 146. In such a case, REF01 would be "F8".	X	AN	1/30	Used
REF03	352 C040	Description Description: A free-form description to clarify the related data elements and their content Note to User: This is a free-form text description of the student identification number if necessary for clarification or positive identification. Reference Identifier	X O	AN	1/80	Used Not used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Ma x	Usage
		Description: To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier				

Syntax:

R0203 -- At least one of REF02 or REF03 is required.

Semantics:

1. REF04 contains data relating to the value cited in REF02.

Note to User:

This is used to provide an identification number for the individual whose educational record is being transmitted. Except for the student's name, the individual's identification number is the most important means of identifying the person whose educational record is being transmitted. The use of a student number that can be identified by the receiving institution or agency greatly facilitates automated processing of the record. Maximum effort should be made to provide one or more valid identification numbers for that reason. The use of REF03 by itself is strongly discouraged since it makes automated processing more difficult.

The acknowledgment process for the TS130 requires that the recipient of the TS130 return the first occurrence of this segment to the sender of the TS130 as one of the occurrences of the REF segment in the TS131.

DMG Demographic Information

Pos: 050 Max: 1 Heading - Optional Loop: N/A Elems: 9

To supply demographic information

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
DMG01	1250	Date Time Period Format Qualifier (Format for Date of Birth) Description: Code indicating the date format, time format, or date and time format Note to User: The date of birth is a useful identifier on the transcript for those institutions which do not use the Social Security number as an identifier. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY MD Month of Year and Day of Month Expressed in Format MMDD	X	ID	2/3	Used
DMG02	1251	Date Time Period (Date of Birth) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: The date of birth is indicated here in the format identified in DMG01.	X	AN	1/35	Used
DMG03	1068	Gender Code Description: Code indicating the sex of the individual Code NAME F Female M Male U Unknown	0	ID	1/1	Used
DMG04	1067	Marital Status Code Description: Code defining the marital status of a person Code NAME A Common Law B Registered Domestic Partner D Divorced I Single K Unknown M Married R Unreported S Separated U Unmarried (Single or Divorced or Widowed) W Widowed X Legally Separated	0	ID	1/1	Used
DMG05	1109	Race or Ethnicity Code	0	ID	1/1	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
		Description: Code indicating the racial or ethnic background of a person; it is normally self-reported; Under certain circumstances this information is collected for United States Government statistical purposes Code NAME 7 Not Provided A Asian or Pacific Islander B Black C Caucasian D Subcontinent Asian American E Other Race or Ethnicity F Asian Pacific American G Native American H Hispanic I American Indian or Alaskan Native J Native Hawaiian N Black (Non-Hispanic) O White (Non-Hispanic) P Pacific Islander Z Mutually Defined				
DMG06	1066	Citizenship Status Code Description: Code indicating citizenship status Code NAME 1 U.S. Citizen 2 Non-Resident Alien 3 Resident Alien 4 Illegal Alien 5 Alien 6 U.S. Citizen - Non-Resident 7 U.S. Citizen - Resident 8 Citizen 9 Non-citizen with Student Authorization A Non-permanent Resident Alien B Permanent Visa C Temporary Visa	0	ID	1/2	Used
DMG07	26	Country Code (Country of Citizenship) Description: Code identifying the country Note to User: Refer to Appendix B for the complete code list.	0	ID	2/3	Used
DMG08	659	Basis of Verification Code (Original Means of Birth Date Verification) Description: Code indicating the basis of verification	0	ID	1/2	K12 Only
DMG09	380	Quantity Description: Numeric value of quantity	0	R	1/15	Not used

Syntax:

P0102 -- If either DMG01 or DMG02 is present, then the other is required.

Semantics:

- 1. DMG02 is the date of birth.
- 2. DMG07 is the country of citizenship.
- 3. DMG09 is the age in years.

Note to User:

The purpose of this segment is to provide demographic information about the student. The AACRAO Academic Record and Transcript Guide (1996) indicates that gender, marital status, and race/ethnicity are not recommended to appear on an official postsecondary transcript. It advises that date of birth be sent only if the Social Security number is not transmitted.

LUI Language Use

Pos: 060 Max: >1 Heading - Optional Loop: N/A Elems: 5

To specify language, type of usage, and proficiency or fluency

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
LUI01	66	Identification Code Qualifier (Language Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: This code designates the code list in which the language code in LUI02 appears. Code NAME LD NISO Z39.53 Language Codes LE ISO 639 Language Codes	X	ID	1/2	Used
LUI02	67	Identification Code (Language Code) Description: Code identifying a party or other code Note to User: This code indicates the language. Refer to Appendix B for the complete code list.	X	AN	2/80	Used
LUI03	352	Description (Language Name) Description: A free-form description to clarify the related data elements and their content Note to User: This is the literal name of the language when needed for clarity or when the language is not included in the code lists identified in LUI01.	X	AN	1/80	Used
LUI04	1303	Use of Language Indicator Description: Code indicating the use of a language Code NAME 4 Language Spoken in the Home 5 Language Reading 6 Language Writing 7 Language Speaking 8 Native Language	0	ID	1/2	Used
LUI05	1476	Language Proficiency Indicator Description: Code indicating language proficiency Code NAME 5 Status Unknown A Excellent or Fluent B Good C Fair D Poor E Unacceptable	0	ID	1/1	Used

Syntax:

P0102 -- If either LUI01 or LUI02 is present, then the other is required. L040203 -- If LUI04 is present, then at least one of LUI02 or LUI03 is required.

Semantics:

- 1. LUI02 is the language code.
- 2. LUI03 is the name of the language.

Note to User:

When transmitted, this segment is used to indicate the student's language proficiencies.

IND

Additional Individual Demographic Information

Pos: 065 Max: 2 Heading - Optional Loop: N/A Elems: 12

To provide additional demographic information to the receiving school, institution, or agency to assist in identifying the particular student

Ref _	<u>Id</u> _	Element Name	Req	Type	Min/Max	<u>Usage</u>
IND01	26	Country Code (Country of Birth)	0	ID	2/3	Used
		Description: Code identifying the country				
		Note to User: Refer to Appendix B for the complete code list.				
IND02	156	State or Province Code (Place of Birth) Description: Code (Standard State/Province) as defined by appropriate government agency	0	ID	2/2	Used
		Note to User: Refer to Appendix B for the complete code list.				
IND03	1096	County Designator (Place of Birth)	О	ID	5/5	Used
		Description: Code identifying the county within a state				
		Note to User: This list is available from the National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161.				
IND04	19	City Name (Place of Birth)	0	AN	2/30	Used
		Description: Free-form text for city name				
IND05	819	Language Code	0	ID	2/3	Not used
		Description: Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)				
		Note to User: In previous releases of the Guide, this was used to				
		transmit the native language of the student. However, this data element				
		points to a code set for written languages only. In order to provide the				
		flexibility to use the spoken languages code set, the LUI segment at				
		position 1/060 should now be used for this purpose.				
IND06	1476	Language Proficiency Indicator (English Proficiency of the Student)	0	ID	1/1	K12 Only
		Description: Code indicating language proficiency	_			
IND07	819	Language Code	О	ID	2/3	Not used
		Description: Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)				
		Note to User: In previous releases of the Guide, this was used to				
		transmit the language spoken in the home. However, this data element				
		points to a code set for written languages only. In order to provide the				

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		flexibility to use the spoken languages code set, the LUI segment at position 1/060 should now be used for this purpose.				
IND08	819	Language Code (Language of Correspondence) Description: Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639) Note to User: This is a code that refers to the language to be used for correspondence to the student. Refer to Appendix B for the complete code list. Use the LUI segment at position 1/060 to transmit additional language information.	0	ID	2/3	Used
IND09	66	Identification Code Qualifier (National/Ethnic Origin Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67)	X	ID	1/2	K12 Only
IND10	67	Identification Code (National/Ethnic Origin Code) Description: Code identifying a party or other code	X	AN	2/80	K12 Only
IND11	66	Identification Code Qualifier (National/Ethnic Origin Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67)	X	ID	1/2	K12 Only
IND12	67	Identification Code (National/Ethnic Origin Code) Description: Code identifying a party or other code	X	AN	2/80	K12 Only

Syntax:

P0910 -- If either IND09 or IND10 is present, then the other is required.

P1112 -- If either IND11 or IND12 is present, then the other is required.

Semantics:

- 1. IND01, IND02, IND03, and IND04 refer to the place of birth.
- 2. IND05 refers to the student's native or first language.
- 3. IND07 refers to the language spoken in the home.
- 4. IND08 refers to the language for correspondence to the student or applicant.

Note to User:

When transmitted, this segment provides additional demographic information about the student. The 1996 AACRAO Academic Record and Transcript Guide does not recommend sending information on place of birth on an official postsecondary transcript.

DTP Date or Time or Period

Pos: 066 Max: 1 Heading - Optional Loop: N/A Elems: 3

To specify any or all of a date, a time, or a time period

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
DTP01	374	Date/Time Qualifier Description: Code specifying type of date or time, or both date and time	M	ID	3/3	Must use
		Note to User: This code indicates that the date appearing in this segment refers to the date of arrival in the country or estimated or actual immigration date. Code NAME AAA Arrival in Country ACA Immigration Date ACB Estimated Immigration Date				
DTP02	1250	Date Time Period Format Qualifier Description: Code indicating the date format, time format, or date and time format Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	M	ID	2/3	Must use
DTP03	1251	Date Time Period Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: The date appears in the format indicated in DTP02.	M	AN	1/35	Must use

Semantics:

1. DTP02 is the date or time or period format that will appear in DTP03.

Note to User:

When used, this segment communicates the date the student immigrated or arrived in the country in which the sending institution is located.

RAP Requirement, Attribute, and Proficiency

Pos: 067 Max: 10 Heading - Optional Loop: N/A Elems: 7

To identify requirements, attributes, and proficiencies of students and/or courses

Ref_	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
RAP01	1155	Educational Test or Requirement Code Description: Indicates a particular national, regional, state, or local test or requirement Note to User: Refer to Appendix B for the complete code list.	M	ID	1/3	Must use
RAP02	93	Name (Main Category of Requirement) Description: Free-form name	0	AN	1/60	Used
RAP03	93	Name (Lesser Category of Requirement) Description: Free-form name	0	AN	1/60	Used
RAP04	1413	Usage Indicator Description: Designates whether the achievement or characteristic being described is a requirement, an attribute, or a proficiency Note to User: This element specifies if this occurrence of the RAP is used to indicate satisfaction of a requirement or proficiency or to indicate the presence of an attribute. Code NAME A Attribute P Proficiency R Requirement	0	ID	1/1	Used
RAP05	1073	Yes/No Condition or Response Code (Requirement, Attribute or Proficiency Met) Description: Code indicating a Yes or No condition or response Note to User: This indicates whether or not the student met the requirement, attribute or proficiency. A "Y" indicates that it was met; an "N" indicates it was not met. Code NAME NO Y Yes	0	ID	1/1	Used
RAP06	1250	Date Time Period Format Qualifier (Format for Date Status Assigned) Description: Code indicating the date format, time format, or date and time format Note to User: This code indicates the format of the date on which the requirement, attribute, or proficiency was met. Code NAME	X	ID	2/3	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
		CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY				
RAP07	1251	Date Time Period (Date Status Assigned) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This date is in the format indicated in RAP06.	X	AN	1/35	Used

Syntax:

P0607 -- If either RAP06 or RAP07 is present, then the other is required.

Semantics:

- 1. RAP02 indicates the major grouping or main category of requirement, attribute, or proficiency.
- 2. RAP03 indicates the lesser grouping of sub-category of requirement, attribute, or proficiency.
- 3. RAP04 indicates whether or not this occurrence of the segment is used to indicate satisfaction of requirement or proficiency, or to indicate the presence of an attribute.
- 4. RAP05 indicates whether or not the student or course meets the requirement, attribute or proficiency. A "Y" indicates that it was met; an "N" indicates that it was not met.

Note to User:

This segment communicates a requirement, attribute, or proficiency and whether or not the student has met it.

PCL Previous College

Pos: 070 Max: 30 Heading - Optional Loop: N/A Elems: 7

To provide the receiving educational institution or agency with information concerning the student's previous postsecondary education experience

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
PCL01	66	Identification Code Qualifier (Institution Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: PCL01 and PCL02 identify an institution previously attended by the student. A crosswalk table for code sets 71, 72, 73, 74, CB and CS is available on diskette from AACRAO at (202) 293-9161. Code NAME 71 Integrated Postsecondary Education Data System (IPEDS) set of codes maintained by the U.S. Department of Education's National Center of Education Statistics 72 The College Board's Admission Testing Program (ATP) 73 Federal Interagency Commission on Education (FICE) number. Available from the United States Department of Education 74 American College Testing (ACT) list of postsecondary educational institutions. CB Statistics Canada Canadian College Student Information System Institution Codes CS Statistics Canada University Student Information System University Codes		ID	1/2	Used
PCL02	67	Identification Code (Institution Code) Description: Code identifying a party or other code Note to User: This code indicates the specific institution from the list identified in PCL01.	X	AN	2/80	Used
PCL03	1250	Date Time Period Format Qualifier (Format for Dates Attended) Description: Code indicating the date format, time format, or date and time format Note to User: PCL03 and PCL04 describe the dates of attendance at the previous institution. Code NAME RD4 Range of Years Expressed in Format CCYY-CCYY RD5 Range of Years and Months Expressed in Format CCYYMM-CCYYMM RD8 Range of Dates Expressed in Format CCYYMMDD-CCYYMMDD	X	ID	2/3	Used
PCL04	1251	Date Time Period (Dates Attended) Description: Expression of a date, a time, or range of dates, times or dates and times	X	AN	1/35	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
PCL05	1126	Note to User: This element conveys the dates of attendance in the format indicated in PCL03. Note that the dash is included in the date range being sent. Academic Degree Code	X	ID	3/3	Used
		Description: Code indicating the level of postsecondary academic award earned by a student Note to User: Note that the decimal is part of the code. Code NAME 2.1 Postsecondary Certificate Or Diploma (less than one year) 2.2 Postsecondary Certificate Or Diploma (one year or more but less than four years) 2.3 Associate Degree (e.g., Associate In Arts, Associate In Science, Associate In Applied Science) 2.4 Baccalaureate Degree 2.5 Baccalaureate (Honours) Degree 2.6 Postsecondary Certificate Or Diploma (one year or more but less than two years) 2.7 Postsecondary Certificate Or Diploma (two years or more but less than four years) 3.1 First Professional Degree 3.2 Post-Professional Degree 4.1 Graduate Certificate 4.2 Master's Degree 4.3 Intermediate Graduate Degree 4.4 Doctoral Degree				
PCL06	1251	4.5 Post-Doctoral Degree Date Time Period (Date Degree Conferred) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: Date Degree Conferred should be in CCYY format.	0	AN	1/35	Used
PCL07	352	Description (Institution Name) Description: A free-form description to clarify the related data elements and their content Note to User: This is the free-form name of the previous institution if it could not be identified in PCL01 and PCL02.	X	AN	1/80	Used

Syntax:

P0102 -- If either PCL01 or PCL02 is present, then the other is required.

R0107 -- At least one of PCL01 or PCL07 is required.

P0304 -- If either PCL03 or PCL04 is present, then the other is required.

C0605 -- If PCL06 is present, then PCL05 is required

Semantics:

- 1. PCL01 and PCL02 are the institution or school that the student previously attended.
- 2. PCL04 indicates the range of dates of attendance at the school previously attended.
- 3. PCL06 is the year a degree was awarded at the school previously attended.

4. PCL06 is in the format CCYY.

Note to User:

Previous college attendance and degree information may be given in this segment. It is used for transfer or graduate students. More detail may be provided in the SES and CRS segments.

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NTE Note/Special Instruction

Pos: 080 Max: 100 Heading - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	М	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, under ANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment can be used to provide additional vital information about this student record which cannot be otherwise transmitted. The use of the NTE, however, is to be avoided where possible since the data is not machine processable.

Name (Sending/Receiving Institution)

Pos: 110 Max: 1 Heading - Mandatory Loop: N1 Elems: 6

To identify a party by type of organization, name, and code

Ref _	<u>Id</u> _	Element Name	Req	Type	Min/Max	Usage
N101	98	Entity Identifier Code (Type of Sender or Receiver) Description: Code identifying an organizational entity, a physical location, property or an individual Code NAME AS Postsecondary Education Sender AT Postsecondary Education Recipient KS Pre-kindergarten to Grade 12 Sender	M	ID	2/3	Must use
N102	93	Name (Institution Name) Description: Free-form name Note to User: This is the free-form name of the institution or agency as commonly used. The N102 data element may be augmented by N2 data segments if the free-form name exceeds 60 characters. This information is redundant if valid codes are sent in N103 and N104.	X	AN	1/60	Used
N103	66	Identification Code Qualifier (Institution Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: A crosswalk table for code sets 71, 72, 73, 74, CB and CS is available on diskette from AACRAO at (202) 293-9161. Code NAME 71 Integrated Postsecondary Education Data System (IPEDS) set of codes maintained by the U.S. Department of Education's National Center of Education Statistics 72 The College Board's Admission Testing Program (ATP) 73 Federal Interagency Commission on Education (FICE)number. Available from the United States Department of Education 74 American College Testing (ACT) list of postsecondary educational institutions. 75 National Center for Education Statistics (NCES) Common Core of Data (CCD) number for PreK - 12 institutions 76 The College Board and ACT 6 digit code list of secondary educational institutions 77 CB Statistics Canada Canadian College Student Information System Institution Codes CS Statistics Canada University Student Information System University Codes		ID	1/2	Used
N104	67	Identification Code (Institution Code) Description: Code identifying a party or other code Note to User: This is the institution code from the code set identified in	X	AN	2/80	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		N103.				
N105	706	Entity Relationship Code Description: Code describing entity relationship	0	ID	2/2	Not used
N106	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual	0	ID	2/3	Not used

Syntax:

R0203 -- At least one of N102 or N103 is required.

P0304 -- If either N103 or N104 is present, then the other is required.

Comments:

- 1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the Institution Code Qualifier (N103) and Code (N104) must be understood by both sender and receiver.
- 2. N105 and N106 further define the type of entity in N101.

Note to User:

One iteration of this N1 loop describes the sender and the other describes the receiver. In each case it is recommended that in the N1 segment, N103 and N104 be used to identify the institution. If this is not possible, N102 is available for the free-form name of the institution.

N2 Additional Name Information

Pos: 120 Max: 1 Heading - Optional Loop: N/A Elems: 2

To specify additional names or those longer than 60 characters in length

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N201	93	Name Description: Free-form name	M	AN	1/60	Must use
N202	93	Name Description: Free-form name	0	AN	1/60	Used

Note to User:

This segment can be used to extend the institutional name in those cases where the free-form name exceeds 60 characters in length. It is recommended, however, that this segment not be used if there is a valid code in the N1 segment (N103 and N104) since it is probably redundant.

N3 Address Information (Street Address of Sending/Receiving Institution)

Pos: 130 Max: 1 Heading - Optional Loop: N/A Elems: 2

To specify the location of the named party

Ref _	<u>Id</u> _	Element Name	Req	Type	Min/Max	Usage _
N301	166	Address Information Description: Address information	M	AN	1/55	Must use
N302	166	Address Information Description: Address information	0	AN	1/55	Used

N4 Geographic Location

Pos: 140 Max: 1 Heading - Optional Loop: N/A Elems: 6

To specify the geographic place of the named party

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N401	19	City Name Description: Free-form text for city name	0	AN	2/30	Used
N402	156	State or Province Code Description: Code (Standard State/Province) as defined by appropriate government agency Note to User: Refer to Appendix B for the complete code list.	О	ID	2/2	Used
N403	116	Postal Code Description: Code defining international postal zone code excluding punctuation and blanks (zip code for United States) Note to User: For the United States, use ZIP codes as specified in the U.S Postal Service Publication 65, Code Set A-5. This is available from the U.S. Postal Service, Washington, DC 20260.	О	ID	3/15	Used
N404	26	Country Code Description: Code identifying the country Note to User: Refer to Appendix B for the complete code list.	О	ID	2/3	Used
N405	309	Location Qualifier Description: Code identifying type of location	X	ID	1/2	K12 Only
N406	310	Location Identifier Description: Code which identifies a specific location	0	AN	1/30	K12 Only

Syntax:

C0605 -- If N406 is present, then N405 is required

Comments:

- 1. A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2. N402 is required only if city name (N401) is in the U.S. or Canada.

PER Administrative Communications Contact

Pos: 150 Max: 1 Heading - Optional Loop: N/A Elems: 9

To identify a person or office to whom administrative communications should be directed

Ref _	Id_	Element Name	Req	Type	Min/Max	Usage
		-				
PER01	366	Contact Function Code Description: Code identifying the major duty or responsibility of the person or group named Code NAME BP School Principal DN Dental School Admissions Office E2 Evening Programs Office FA Financial Aid Office GA Graduate Fine Arts Office GB Graduate Business Office GC Guidance Counselor GE Graduate Engineering Office GR Graduate Admissions Office LD Law School Admissions Office MD Medical Admissions Office PK Performance Evaluation Committee PS Personnel Department RG Registrar SB Student SK School Clerk SP Special Program Contact SW Social Services Worker TC College of Education Admissions Office	M	ID	2/2	Must use
		TH School of Theology Admissions Office UG Undergraduate Admissions Office				
		VM School of Veterinary Medicine Admissions Office				
PER02	93	Name (Name of Contact Person) Description: Free-form name	0	AN	1/60	Used
PER03	365	Communication Number Qualifier Description: Code identifying the type of communication number Note to User: The AACRAO Academic Record and Transcript Guide (1996) recommends that a contact telephone number (code "TE") be included for the postsecondary institutions sending a transcript. It is strongly recommended that this be included in the PreK-Grade 12 record as well. Code NAME AP Alternate Telephone AS Answering Service BN Beeper Number CP Cellular Phone	X	ID	2/2	Used

<u>Ref</u>	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
		EM Electronic Mail EX Telephone Extension FX Facsimile HF Home Facsimile Number HP Home Phone Number NP Night Telephone OF Other Residential Facsimile Number OT Other Residential Telephone Number PA Appointment Phone PC Personal Cellular PP Personal Phone TE Telephone TL Telex TM Telemail TN Teletex Number VM Voice Mail WC Work Cellular WF Work Facsimile Number WP Work Phone Number				
PER04	364	Communication Number Description: Complete communications number including country or area code when applicable	X	AN	1/80	Used
PER05	365	Communication Number Qualifier Description: Code identifying the type of communication number Note to User: Values are listed under PER03.	X	ID	2/2	Used
PER06	364	Communication Number Description: Complete communications number including country or area code when applicable	X	AN	1/80	Used
PER07	365	Communication Number Qualifier Description: Code identifying the type of communication number Note to User: Values are listed under PER03.	X	ID	2/2	Used
PER08	364	Communication Number Description: Complete communications number including country or area code when applicable	X	AN	1/80	Used
PER09	443	Contact Inquiry Reference Description: Additional reference number or description to clarify a contact number	0	AN	1/20	Used

Syntax:

P0304 -- If either PER03 or PER04 is present, then the other is required.

P0506 -- If either PER05 or PER06 is present, then the other is required.

P0708 -- If either PER07 or PER08 is present, then the other is required.

IN1 Individual Identification

Pos: 160 Max: 1 Heading - Mandatory Loop: IN1 Elems: 7

To provide identification of an individual or entity

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
IN101	1065	Entity Type Qualifier Description: Code qualifying the type of entity Note to User: This code indicates whether the entity is a person or a non-person (such as an agency). The postsecondary transcript would use "1 Person". Code NAME 1 Person 2 Non-Person Entity	M	ID	1/1	Must use
IN102	1107	Name Type Code Description: Code identifying the type of name Note to User: The name referred to is to appear in IN202. Code NAME 01 Given Name (Name at Birth) 02 Current Legal 03 Alias 04 Name of Record 05 Previous Name 07 Married Name 08 Professional Name	M	ID	2/2	Must use
IN103	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual Note to User: This code describes the relationship this entity has with the student. If this occurrence of the IN1 refers to the student, use "S2". Code NAME 6X Disciplinary Contact E1 Person or Other Entity Legally Responsible for a Child E2 Person or Other Entity With Whom a Child Resides E3 Person or Other Entity Legally Responsible for and With Whom a Child Resides E4 Other Person or Entity Associated with Student S1 Parent S2 Student S3 Custodial Parent	O	ID	2/3	Used
IN104	128	Reference Identification Qualifier Description: Code qualifying the Reference Identification	X	ID	2/3	K12 Only
IN105	127	Reference Identification Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN	1/30	K12 Only

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		_				
IN106	1069	Individual Relationship Code Description: Code indicating the relationship between two individuals or entities	0	ID	2/2	K12 Only
IN107	1131	Level of Individual, Test, or Course Code (Parent/Guardian Education Level) Description: Code identifying level of course, test, or student	0	ID	2/2	K12 Only

Syntax:

P0405 -- If either IN104 or IN105 is present, then the other is required.

Semantics:

- 1. IN101 defines entity type (person or nonperson).
- 2. IN102 defines type or usage of name.
- 3. IN103 defines role of entity. If IN103 equals "03", then IN106 is required.
- 4. IN104 identifies number type in IN105 as Social Security or Tax ID.
- 5. IN106 defines type of dependent relationship.

Note to User:

This loop is used to provide identification, by name, of the individual student whose record is being transmitted. Complete and proper identification of the student whose educational record is being sent is of utmost importance. Careful attention to this loop will assist the receiving institution in matching this transmission to the correct student.

IN2 Individual Name Structure Components

Pos: 170 Max: 10 Heading - Mandatory Loop: N/A Elems: 2

To sequence individual name components for maximum specificity

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
IN201	1104	Nome Component Qualifier	M	ID	2/2	Must use
111/2/01	1104	Name Component Qualifier	IVI	ID	212	Must use
		Description: Code identifying the type of name component				
		Code NAME				
		01 Prefix				
		02 First Name				
		03 First Middle Name				
		04 Second Middle Name				
		05 Last Name				
		06 First Initial				
		07 First Middle Initial				
		08 Second Middle Initial				
		09 Suffix				
		12 Combined (Unstructured) Name				
		14 Name of an agency				
		15 Maiden or former name				
		16 Composite Name				
		Description: Used if the name cannot be broken into separate				
		parts, formatted with the last name first.				
		17 Middle Names				
		18 Preferred First Name or Nickname				
		22 Organization Name				
IN202	93	Name	M	AN	1/60	Must use
1.1202)3		171	ALT	1/00	must use
		Description: Free-form name				
		Note to User: This is the free-form text of the name component or full				
		name as indicated in IN201.				

Semantics:

1. IN201 defines the component of a structured name.

Note to User:

This segment is used to provide the receiving institution with the structure of the name being transmitted. Each occurrence of the IN2 qualifies a name component or the full name appearing in IN202.

The acknowledgment process for the TS130 requires that the recipient of the TS130 return the first occurrence of this segment to the sender of the TS130 in the IN2 segment of TS131.

N3 Address Information

Pos: 180 Max: 1 Heading - Optional Loop: N3 Elems: 2

To specify the location of the named party

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N301	166	Address Information Description: Address information	M	AN	1/55	Must use
N302	166	Address Information Description: Address information	0	AN	1/55	Used

Note to User:

This segment is used to provide the primary mailing (street) address to be used to correspond with the student identified in the current IN1 loop. If multiple addresses for a single individual are sent, then use N405 to distinguish among them. The AACRAO Academic Record and Transcript Guide (1996) indicates that the address is no longer considered a viable item of identification and, therefore, is generally not included on the official postsecondary transcript.

N4 Geographic Location

Pos: 190 Max: 1 Heading - Optional Loop: N/A Elems: 6

To specify the geographic place of the named party

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N401	19	City Name Description: Free-form text for city name	0	AN	2/30	Used
N402	156	State or Province Code Description: Code (Standard State/Province) as defined by appropriate government agency Note to User: Refer to Appendix B for the complete code list.	0	ID	2/2	Used
N403	116	Postal Code Description: Code defining international postal zone code excluding punctuation and blanks (zip code for United States) Note to User: For the United States, use ZIP codes as specified in the U.S. Postal Service Publication 65, Code Set A-5. This is available from the U.S. Postal Service, Washington, DC 20260.	O	ID	3/15	Used
N404	26	Country Code Description: Code identifying the country Note to User: Refer to Appendix B for the complete code list.	0	ID	2/3	Used
N405	309	Location Qualifier Description: Code identifying type of location Code NAME DT Domicile Type Code F Current Address H Home Address I Home Base Address L Local Address M Mailing Address O Office Address P Permanent Address	X	ID	1/2	Used
N406	310	Location Identifier Description: Code which identifies a specific location	О	AN	1/30	K12 Only

Syntax:

C0605 -- If N406 is present, then N405 is required

Comments:

- 1. A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2. N402 is required only if city name (N401) is in the U.S. or Canada.

Note to User:

This segment is used to provide city, state, ZIP (postal) code and country address information to be used to correspond with the individual identified in the current IN1 loop. If multiple addresses for a single individual are sent, then use N405 to distinguish among them. The AACRAO Academic Record and Transcript Guide (1996) indicates that the address is no longer considered a viable item of identification, therefore, is generally not included on the official postsecondary transcript.

PER Administrative Communications Contact

Pos: 195 Max: 10 Heading - Optional Loop: N/A Elems: 9

To identify a person or office to whom administrative communications should be directed

Ref _	<u>Id</u> _	Element Name	Req	Type	Min/Max	Usage _
		-				
PER01	366	Contact Function Code	M	ID	2/2	Must use
		Description: Code identifying the major duty or responsibility of the				
		person or group named				
		Code NAME				
		BP School Principal				
		DN Dental School Admissions Office				
		E2 Evening Programs Office				
		EM Emergency Contact				
		FA Financial Aid Office				
		GA Graduate Fine Arts Office				
		GB Graduate Business Office GC Guidance Counselor				
		GE Graduate Engineering Office GR Graduate Admissions Office				
		LD Law School Admissions Office				
		MC Medical Contact				
		MD Medical Admissions Office				
		OA Other Adult				
		PK Performance Evaluation Committee				
		PN Probation or Legal Officer				
		PQ Parent or Guardian				
		PS Personnel Department				
		RG Registrar				
		SB Student				
		SF Student in Absentia				
		SK School Clerk				
		SP Special Program Contact				
		SW Social Services Worker				
		TC College of Education Admissions Office				
		TH School of Theology Admissions Office				
		UG Undergraduate Admissions Office				
		VM School of Veterinary Medicine Admissions Office				
PER02	93	Name (Contact Person)	0	AN	1/60	Used
		Description: Free-form name				
		_				
		Note to User: The use of this data element is discouraged unless it is				
		necessary for clarity.				
PER03	365	Communication Number Qualifier	X	ID	2/2	Used
		Description: Code identifying the type of communication number				
		Code NAME				
]		AP Alternate Telephone				

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		AS Answering Service BN Beeper Number CP Cellular Phone EM Electronic Mail EX Telephone Extension FX Facsimile HF Home Facsimile Number HP Home Phone Number NP Night Telephone OF Other Residential Facsimile Number OT Other Residential Telephone Number PA Appointment Phone PC Personal Cellular PP Personal Phone TE Telephone TL Telex TM Telemail TN Teletex Number VM Voice Mail WC Work Cellular WF Work Facsimile Number WP Work Phone Number				
PER04	364	Communication Number Description: Complete communications number including country or area code when applicable	X	AN	1/80	Used
PER05	365	Communication Number Qualifier Description: Code identifying the type of communication number Note to User: Values are listed under PER03.	X	ID	2/2	Used
PER06	364	Communication Number Description: Complete communications number including country or area code when applicable	X	AN	1/80	Used
PER07	365	Communication Number Qualifier Description: Code identifying the type of communication number Note to User: Values are listed under PER03.	X	ID	2/2	Used
PER08	364	Communication Number Description: Complete communications number including country or area code when applicable	X	AN	1/80	Used
PER09	443	Contact Inquiry Reference Description: Additional reference number or description to clarify a contact number	0	AN	1/20	Used

Syntax:

P0304 -- If either PER03 or PER04 is present, then the other is required.

P0506 -- If either PER05 or PER06 is present, then the other is required. P0708 -- If either PER07 or PER08 is present, then the other is required.

Note to User:

This segment is used to transmit communication numbers for the student and/or other entities associated with the student. The postsecondary transcript generally does not include this segment.

NTE Note/Special Instruction

Pos: 210 Max: 1 Heading - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	М	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, under ANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment is used to provide additional vital information about the individual or agency identified in the current IN1 loop that cannot otherwise be transmitted.

SST Student Academic Status

Pos: 220 Max: 1 Heading - Optional Loop: SST Elems: 9

To provide information concerning the student's eligibility to return to the reporting institution, enrollment status, residency for fee purposes, and date and type or status of high school graduation

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	<u>Usage</u>
SST01	641	Status Reason Code (High School Graduation Type Code) Description: Code indicating the status reason	0	ID	3/3	Used
		Note to User: This is a code indicating the status of the PreK-Grade 12 student or the type of high school diploma or certification the student was, or is expected to be, awarded. In the postsecondary record, this may reflect the basis of admission at the sending institution. Code NAME B17 Did not complete secondary school B18 Standard high school diploma B19 Advanced or honors diploma B20 Vocational diploma B21 Special education diploma B22 Certificate of completion or attendance B23 Special certificate of completion B24 General Education Development Diploma (GED) B25 Other high school equivalency diploma B26 International diploma or certificate (such as International Baccalaureate)				
SST02	1250	Date Time Period Format Qualifier (Format for High School Graduation Date) Description: Code indicating the date format, time format, or date and time format Note to User: This code indicates the format of the actual or expected high school graduation date appearing in SST04. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used
SST03	1251	Date Time Period (High School Graduation Date) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the date the student graduated or is expected to graduate from high school.	X	AN	1/35	Used
SST04	641	Status Reason Code (Eligible to Return Code) Description: Code indicating the status reason Note to User: This element indicates if the student is in good standing at	0	ID	3/3	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	<u>Usage</u>
		the school and is eligible to return. If not eligible to return, the code indicates the reason for this ineligibility to return to this institution. Code NAME B27 Student is eligible to continue or return or both B28 Student is on suspension or dismissal B29 Student is expelled (from PreK - grade 12) B51 Student on Suspension or Dismissal; Eligible to Apply for Reentry				
SST05	1250	Date Time Period Format Qualifier (Format for Date of Eligibility to Return) Description: Code indicating the date format, time format, or date and time format Note to User: This is the format of the date of eligibility to return appearing in SST06. For a student in good standing, this data element would not be sent. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used
SST06	1251	Date Time Period (Date of Eligibility to Return) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the date the student is eligible to return in the format indicated in SST05. For a student in good standing, this data element would not be sent.	X	AN	1/35	Used
SST07	641	Status Reason Code (Current Enrollment Status Code) Description: Code indicating the status reason Note to User: This element indicates if the student is currently enrolled at the institution and how the courses for which the student is enrolled are contained in the transaction set. Code NAME B30 Currently enrolled but courses in progress not included B31 Not currently enrolled B33 Unreported - Information is not available in record B34 Currently enrolled and courses in progress are included	0	ID	3/3	Used
SST08	1131	Level of Individual, Test, or Course Code (Student's Grade Level) Description: Code identifying level of course, test, or student Note to User: This data element is not sent in the postsecondary transcript. Code NAME 08 Eighth grade 09 Ninth grade 10 Tenth grade	0	ID	2/2	K12 Only

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
		11 Eleventh grade 12 Twelfth grade AD Adult HG High School Graduate or Equivalent HS Attended high school, but did not graduate PS Some Postsecondary (e.g. college) SS Secondary School VS Vocational School				
SST09	1073	Yes/No Condition or Response Code (Residency Code for Fee Purposes) Description: Code indicating a Yes or No condition or response Code NAME No Description: The student is not (or was not) considered to be a legal resident for fee purposes. U Unknown Description: The residency status is unknown or not available in the transcript record. Y Yes Description: The student is or was considered to be a legal resident for fee purposes.	O	ID	1/1	PostSec Only

Syntax:

P0203 -- If either SST02 or SST03 is present, then the other is required.

P0506 -- If either SST05 or SST06 is present, then the other is required.

Semantics:

- 1. SST01 refers to the type of high school diploma or certificate the student was awarded, if applicable.
- 2. SST03 is the date of high school graduation.
- 3. SST04 indicates if the student is in good standing at the school and is eligible to return. If not eligible to return, the code indicates the reason for this ineligibility to return to this institution.
- 4. SST06 refers to the date the student is eligible to return.
- 5. SST07 indicates if the student is currently enrolled at the institution and how the courses for which the student is enrolled are contained in the transaction set.
- 6. SST08 indicates the grade level of the student at the institution.
- 7. SST09 refers to the legal residence of the student for fee purposes. A "Y" indicates that the student has been coded as a legal resident of the state for fee purposes; an "N" indicates that the student has been coded as a nonresident of the state for fee purposes.

Note to User:

The AACRAO Academic Record and Transcript Guide classifies information concerning the student's eligibility to return (academic suspension or dismissal) as an essential data element. Institutions and agencies subscribing to the AACRAO recommendation would therefore transmit SST04 as a required part of the student's educational record.

Educational institutions and agencies that have differential tuition and fee structures for resident and non-resident students may wish to include the student's residency status for fee purposes (SST09) at the sending institution or agency to assist in evaluating the student's residency status at the receiving institution or agency.

SSE Entry and Exit Information

Pos: 230 Max: 1000 Heading - Optional Loop: N/A Elems: 4

To provide information concerning the entry into or withdrawal from a school, school program, school district, or postsecondary institution

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
SSE01	373	Date (Entry Date) Description: Date expressed as CCYYMMDD	0	DT	8/8	Used
SSE02	373	Date (Exit Date) Description: Date expressed as CCYYMMDD	0	DT	8/8	Used
SSE03	641	Status Reason Code (Reason for Entry or Exit) Description: Code indicating the status reason Code NAME B27 Student is eligible to continue or return or both B28 Student is on suspension or dismissal B29 Student is expelled (from PreK - grade 12) B31 Not currently enrolled B38 Dropped B39 Academic Probation B40 Suspended B51 Student on Suspension or Dismissal; Eligible to Apply for Reentry B52 According to established regulations or statutes D03 Student has attended a nonpublic school or home education program in- or out-of-state this year D04 Student was received from another attendance reporting unit in the same school D05 Student was received from a school in the same district D06 Student was received from another public school outside the district either in- or out-of-state D07 Student was received from a nonpublic school either in or out of the district or has returned after having been enrolled in a home education program; The student must have been enrolled previously in a public school this year D08 Student unexpectedly reentered the same school after withdrawing or being discharged D09 Student was expected to attend a school but did not enter as expected for unknown reasons D10 Student was promoted, retained, or transferred to another attendance-reporting unit in the same school D11 Student was promoted, retained, or transferred to another school in the same district D12 Student withdrew to attend another public school in the same district D13 Student withdrew to attend another public school in- or out-of-state D14 Student Over Compulsory Attendance Age Left School	O	ID	3/3	K12 Only

Ref _	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>
Kei	10	Voluntarily with No Intention of Returning D15 Student Graduated from School with a Standard Diploma D16 Student Graduated from School with a Special Diploma D17 Student Left School with a Certificate of Completion D18 Student Left School with a Special Certificate of Completion D19 Student Left School with a State General Education Development (GED) High School Diploma D20 Student Withdrew to Attend a Non-Public School or Home Education Program In- or Out-of-State. D21 Student withdrew from school due to hardship D22 Student has not entered any school in this or any other state this school year D23 Previously attended out-of-state public school but is entering a public school in this state for the first time this school year D24 Returned to Regular Education Program EB1 Deceased EB3 Withdrawn EB4 Graduated		Туре	Min/Max	Usage
SSE04	1470	Number (Priority of Choice) Description: A generic number	0	N0	1/9	Not used

Semantics:

- 1. SSE01 refers to the entry date of the student in a school, school district, or school program.
- 2. SSE02 refers to the exit date of the student in a school, school district, or school program.
- 3. SSE03 refers to the reason why the student entered or left the school, school district, or school program.
- 4. SSE04 refers to the priority of choice for an intended entry into a school, school program, school district, or postsecondary institution.

Note to User:

The first occurrence of the SSE segment is associated with the sending institution or agency, and should reflect the entry/withdrawal date(s) as appropriate. Any additional information that indicates the movement of the student between other institutions or agencies should be included through multiple occurrences of this segment with appropriate override institution or agency information sent in segments N1, N3, and N4.

This segment is not transmitted in a postsecondary record.

Historical entry/withdrawal information should be sent in reverse chronological order.

N1 Name

Pos: 240 Max: 1 Heading - Optional Loop: N/A Elems: 6

To identify a party by type of organization, name, and code

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N101	98	Entity Identifier Code (Type of Institution/Agency) Description: Code identifying an organizational entity, a physical location, property or an individual Code NAME HS High School M8 Educational Institution VO Elementary School VQ Middle School VR Junior High School ZZ Mutually Defined	M	ID	2/3	Must use
N102	93	Name (Institution/Agency Name) Description: Free-form name Note to User: This information is redundant if valid codes are sent in N103 and N104.	X	AN	1/60	Used
N103	66	Identification Code Qualifier (Institution Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: A crosswalk table for code sets 71, 72, 73, 74, CB and CS is available on diskette from AACRAO at (202) 293-9161. Code NAME 77 National Center for Education Statistics (NCES) Common Core of Data (CCD) number for PreK - 12 institutions 78 The College Board and ACT 6 digit code list of secondary educational institutions	X	ID	1/2	Used
N104	67	Identification Code (Institution Code) Description: Code identifying a party or other code Note to User: This is the institution code from the code set identified in N103.	X	AN	2/80	Used
N105	706	Entity Relationship Code Description: Code describing entity relationship	0	ID	2/2	Not used
N106	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual	0	ID	2/3	Not used

Syntax:

R0203 -- At least one of N102 or N103 is required.

P0304 -- If either N103 or N104 is present, then the other is required.

Comments:

- 1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the Institution Code Qualifier (N103) and Code (N104) must be understood by both sender and receiver.
- 2. N105 and N106 further define the type of entity in N101.

Note to User:

If the student status information contained in this loop pertains to an institution other than the sender, then this segment must be used to identify that institution.

On the postsecondary transcript, this segment identifies the high school from which the student graduated or last attended.

N3 Address Information

Pos: 250 Max: 1 Heading - Optional Loop: N/A Elems: 2

To specify the location of the named party

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N301	166	Address Information Description: Address information	M	AN	1/55	Must use
N302	166	Address Information Description: Address information	0	AN	1/55	Used

Note to User:

This segment allows sending a free-form address for the institution identified in the N1 segment. It is recommended that free-form text not be used except as required for clarity.

N4 Geographic Location

Pos: 260 Max: 1 Heading - Optional Loop: N/A Elems: 6

To specify the geographic place of the named party

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>
N401	19	City Name Description: Free-form text for city name	0	AN	2/30	Used
N402	156	State or Province Code Description: Code (Standard State/Province) as defined by appropriate government agency Note to User: Refer to Appendix B for the complete code list.	0	ID	2/2	Used
N403	116	Postal Code Description: Code defining international postal zone code excluding punctuation and blanks (zip code for United States) Note to User: For the United States, use ZIP codes as specified in the U.S. Postal Service Publication 65, Code Set A-5. This is available from the U.S. Postal Service, Washington, DC 20260.	О	ID	3/15	Used
N404	26	Country Code Description: Code identifying the country Note to User: Refer to Appendix B for the complete code list.	О	ID	2/3	Used
N405	309	Location Qualifier Description: Code identifying type of location Note to User: This is the code identifying the type of location used in the address. Code NAME AC City and State CC Country CI City CY County/Parish DR District of Residence F Current Address L Local Address M Mailing Address O Office Address P Permanent Address P Permanent Address PT 3 Digit Canadian Postal Code PU 6 Digit Canadian Postal Code RE Regional Education Service Agency SD School District SH School Campus Code SP State/Province SS School	X	ID	1/2	K12 Only

<u>Ref</u>	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		TN Township ZZ Mutually Defined				
N406	310	Location Identifier Description: Code which identifies a specific location	0	AN	1/30	K12 Only

Syntax:

C0605 -- If N406 is present, then N405 is required

Comments:

- 1. A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2. N402 is required only if city name (N401) is in the U.S. or Canada.

Note to User:

This segment provides additional location information about the school identified in the N1 segment.

ATV

Student Activities and Awards

Pos: 265 Max: 1 Heading - Optional Loop: ATV Elems: 10

To identify the activities in which a student has been involved, awards and honors the student has received, significant achievements, including employment, or publications

Ref	<u>Id</u>	Element Name	Req	Type	Min/Ma	Usage
		_			<u>x</u>	
ATV01	1270	Code List Qualifier Code (Activity or Award Code Qualifier) Description: Code identifying a specific industry code list Code NAME SA Student Activity Type Code SB Student Award Code	X	ID	1/3	Used
ATV02	1271	Industry Code (Activity or Award Code)	X	AN	1/30	Used
		Description: Code indicating a code from a specific industry code list Note to User: Refer to Appendix B for the complete code list.				
ATV03	790	Entity Title (Name of Activity or Award) Description: Title of the data entity Note to User: This is the free-form name of an activity or award when needed. It can also be used to provide additional clarification when the codes in ATV02 are not adequate. It can contain a mutually defined activity or award indicator.	0	AN	1/132	Used
ATV04	790	Entity Title (Name of Sponsoring Organization) Description: Title of the data entity	0	AN	1/132	K12 Only
ATV05	380	Quantity (Activity Involvement) Description: Numeric value of quantity	X	R	1/15	K12 Only
ATV06	C001	Composite Unit of Measure (Activity Involvement) Description: To identify a composite unit of measure	X	Comp		K12 Only
ATV07	1131	Level of Individual, Test, or Course Code (Level of Participation) Description: Code identifying level of course, test, or student	0	ID	2/2	K12 Only
ATV08	1073	Yes/No Condition or Response Code (Student Was Paid to Participate) Description: Code indicating a Yes or No condition or response	0	ID	1/1	K12 Only
ATV09	1073	Yes/No Condition or Response Code (School Sponsored Activity) Description: Code indicating a Yes or No condition or response	0	ID	1/1	K12 Only
ATV10	1073	Yes/No Condition or Response Code (Student Was Recruited) Description: Code indicating a Yes or No condition or response	0	ID	1/1	K12 Only

Syntax:

P0102 -- If either ATV01 or ATV02 is present, then the other is required

P0506 -- If either ATV05 or ATV06 is present, then the other is required.

Semantics:

- 1. ATV02 contains the activity or the award code.
- 2. ATV03 is the free-form name of the activity or award.
- 3. ATV04 is the free-form name of the organization sponsoring the activity or granting the award.
- 4. ATV05 and ATV06 together can be used to indicate hours per week, days per week,days per month, etc. that a student was involved in the activity.
- 5. ATV07 indicates the level of participation in this activity.
- 6. ATV08 defines whether the student was paid for participation in the activity. A "Y" indicates that the student was paid; an "N" indicates that the student was not paid.
- 7. ATV09 defines whether the activity was a school-sponsored activity. A "Y" indicates that the activity was school sponsored; an "N" indicates that the activity was not school sponsored.
- 8. ATV10 defines whether the student was recruited by postsecondary institutions in this activity. A "Y" indicates that the student was recruited; an "N" indicates that the student was not recruited.

April 1998 Version 4.0 54 ANSI ASC X12 Basis: 4010

DTP Date or Time or Period

Pos: 267 Max: 2 Heading - Optional Loop: N/A Elems: 3

To specify any or all of a date, a time, or a time period

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
DTP01	374	Date/Time Qualifier (Type of Award/Activity Participation Date) Description: Code specifying type of date or time, or both date and time Note to User: This data element qualifies the date associated with the award/activity. Code NAME 007 Effective 036 Expiration 043 Publication 050 Received 055 Confirmed 102 Issue 103 Award 196 Start 197 End 198 Completion 237 Student Signed 270 Date Filed 275 Approved 336 Employment Begin 337 Employment End 467 Signature 574 Action Begin Date 576 Action End Date ZZZ Mutually Defined	M	ID	3/3	Must use
DTP02	1250	Date Time Period Format Qualifier (Format for Award/Activity Participation Date) Description: Code indicating the date format, time format, or date and time format Note to User: The code specifies the format of the date associated with the award/activity. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY RD4 Range of Years Expressed in Format CCYY-CCYY RD5 Range of Years and Months Expressed in Format CCYYMM-CCYYMM RD8 Range of Dates Expressed in Format CCYYMMDD-CCYYMMDD	M	ID	2/3	Must use
DTP03	1251	Date Time Period (Award/Activity Participation Date)	M	AN	1/35	Must use

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		-				
		Description: Expression of a date, a time, or range of dates, times or dates and times				
		Note to User: This is the date associated with the award/activity. It is transmitted in the format specified in DTP02.				

Semantics:

1. DTP02 is the date or time or period format that will appear in DTP03.

Note to User:

This segment provides dates associated with the activity or award identified in the preceding ATV segment.

TST Test Score Record

Pos: 270 Max: 1 Heading - Optional Loop: TST Elems: 15

To provide information about national, regional, state, or local tests that a student has taken

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
TST01	1155	Educational Test or Requirement Code (Test Code) Description: Indicates a particular national, regional, state, or local test or requirement Note to User: Refer to Appendix B for the complete code list.	M	ID	1/3	Must use
TST02	93	Name (Test Name) Description: Free-form name Note to User: By agreement within a state, this element could be considered as a code. For example, if TST01=9FL, by agreement in Florida, CLAST could be used in this element to indicate the College Level Academic Skills Test.	О	AN	1/60	Used
TST03	1250	Date Time Period Format Qualifier (Format for Date Test was Administered) Description: Code indicating the date format, time format, or date and time format Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used
TST04	1251	Date Time Period (Date Test was Administered) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the date the test was administered in the format indicated in TST03.	X	AN	1/35	Used
TST05	127	Reference Identification (Form of Test) Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Note to User: This is the form, version or edition of the test.	0	AN	1/30	Used
TST06	127	Reference Identification (Test Level) Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Note to User: This is a free-form text description of the level of the test. For the International Baccalaureate Test, TST06 is "H" for higher level or "S" for subsidiary level.	0	AN	1/30	Used

Ref_	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
ST07	1131	Level of Individual, Test, or Course Code (Student's Grade Level)	0	ID	2/2	Used
510/	1131		U	עו	2/2	Useu
		Description: Code identifying level of course, test, or student				
		Code NAME				
		01 First grade 02 Second grade				
		03 Third grade				
		04 Fourth grade				
		05 Fifth grade				
		06 Sixth grade				
		07 Seventh grade				
		08 Eighth grade				
		09 Ninth grade				
		0K Kindergarten				
		10 Tenth grade				
		11 Eleventh grade				
		12 Twelfth grade				
		20 Non-Degree or Temporary Undergraduate in Postsecondary				
		school				
		21 Postsecondary First Year Student				
		22 Postsecondary Sophomore				
		23 Postsecondary Junior				
		24 Postsecondary Senior				
		25 Postsecondary Post-Baccalaureate Student				
		26 Postsecondary Non-Degree Graduate Student				
		27 Postsecondary Professional Student				
		28 Postsecondary Master's Degree Student				
		29 Postsecondary Doctoral Student				
		30 Postdoctoral Student				
		31 Postsecondary Bachelor Preliminary Year				
		32 Postsecondary Fifth Year Student				
		33 Postsecondary Masters Qualifying Year				
		AD Adult				
		AS Associate Degree				
		BD Baccalaureate (Bachelor's) Degree				
		DD Doctoral Degree				
		EL Elementary School				
		HG High School Graduate or Equivalent				
		HS Attended high school, but did not graduate				
		IF Infant (0 to age 2)				
		MD Master's Degree				
		MS Middle or Junior High School				
		P0 Pre-Kindergarten Level 0				
		P1 Pre-Kindergarten Level 1				
		P2 Pre-Kindergarten Level 2				
		P3 Pre-Kindergarten Level 3				
		P4 Pre-Kindergarten Level 4				
		P5 Pre-Kindergarten Level 5				
		PC Postsecondary Certificate or Diploma				
		PD Professional Degree or Certification				
		PF Professional				
		PK Pre-Kindergarten				
		PS Some Postsecondary (e.g. college)				
		SS Secondary School				
		UN Ungraded				
		VS Vocational School				

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
TST08	1131	Level of Individual, Test, or Course Code (Typical Test Grade Level) Description: Code identifying level of course, test, or student	0	ID	2/2	K12 Only
TST09	1251	Date Time Period (Norm Year) Description: Expression of a date, a time, or range of dates, times or dates and times	0	AN	1/35	K12 Only
TST10	1156	Test Norm Type Code Description: Code identifying the type of norming used for the academic test	O	ID	1/1	K12 Only
TST11	1157	Test Norming Period Code Description: Code indicating time of the year of the norming of an academic test	0	ID	1/1	K12 Only
TST12	819	Language Code (Language of Test) Description: Code designating the language used in text, from a standard code list maintained by the International Standards Organization (ISO 639)	0	ID	2/3	K12 Only
TST13	1251	Date Time Period Description: Expression of a date, a time, or range of dates, times or dates and times	О	AN	1/35	K12 Only
TST14	1073	Yes/No Condition or Response Code (Test Information Revised) Description: Code indicating a Yes or No condition or response	0	ID	1/1	K12 Only
TST15	1073	Yes/No Condition or Response Code (Test Administration Invalidated) Description: Code indicating a Yes or No condition or response	0	ID	1/1	K12 Only

Syntax:

P0304 -- If either TST03 or TST04 is present, then the other is required.

Semantics:

- 1. TST04 refers to the date the test was administered.
- 2. TST05 and TST06 refer to the form and the level of the test, respectively.
- 3. TST07 refers to the grade level of the student at the time the test was taken.
- 4. TST08 refers to the typical grade level at which the test is given.
- 5. TST09 refers to the year the test was normed.
- 6. TST09 has the format CCYY.
- 7. TST13 has the format CCYY.
- 8. TST13 refers to the date associated with the test.
- 9. TST14 indicates whether the test information is revised. A "Y" indicates that the test information is revised; an "N" indicates that the test information is not revised.
- 10. TST15 indicates whether the test administration referred to is invalidated. A "Y" indicates that the test administration referred to is invalidated; an "N" indicates that the test administration is not invalidated.

Note to User:

The TST loop requires the use of at least one SBT record, which, in turn, requires the use of at least one SRE record.

Because the interpretation and proper use of achievement test scores require an understanding of the precise test, test form, level, and norming context, and any unusual testing conditions which may have existed at the time of testing, the more complete these segments are, the more useful they will be to the receiving institution or agency.

The AACRAO Academic Record and Transcript Guide states that it is not recommended to include on the postsecondary transcript test scores used as a basis for admission (ACT or SAT scores, for example). It is silent regarding other test scores.

April 1998 Version 4.0 60 ANSI ASC X12 Basis: 4010

SBT Subtest

Pos: 280 Max: 1 Heading - Optional Loop: SBT Elems: 3

To provide information about subtests that the student has taken

Element Summary:

<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
1150		3.7			3.5
1158		M	ID	5/5	Must use
	Description: Code indicating a particular subtest of a test				
	Note to User: If there is only a total score (that is, there are no subtests),				
	use "00000". If TST01 indicates a state code, then "99999" in SBT01				
	indicates the subtest will be defined by mutual agreement within the				
	state. The subtest name or indicator will follow in SBT02. Refer to				
	Appendix B for the complete code list.				
93	Name (Subtest Name)	0	AN	1/60	Used
	Description: Free-form name				
	Note to User: By agreement within a state, when SBT01 is "99999,"				
	then this free-form name could be an agreed upon code or standard.				
1159	Test Score Interpretation Code	0	ID	1/1	K12 Only
	Description: Code indicating unusual testing conditions that must be known to interpret properly a person's academic test score				
	93	1158 Subtest Code Description: Code indicating a particular subtest of a test Note to User: If there is only a total score (that is, there are no subtests), use "00000". If TST01 indicates a state code, then "99999" in SBT01 indicates the subtest will be defined by mutual agreement within the state. The subtest name or indicator will follow in SBT02. Refer to Appendix B for the complete code list. 93 Name (Subtest Name) Description: Free-form name Note to User: By agreement within a state, when SBT01 is "99999," then this free-form name could be an agreed upon code or standard. 1159 Test Score Interpretation Code Description: Code indicating unusual testing conditions that must be	1158 Subtest Code Description: Code indicating a particular subtest of a test Note to User: If there is only a total score (that is, there are no subtests), use "00000". If TST01 indicates a state code, then "99999" in SBT01 indicates the subtest will be defined by mutual agreement within the state. The subtest name or indicator will follow in SBT02. Refer to Appendix B for the complete code list. 93 Name (Subtest Name) Description: Free-form name Note to User: By agreement within a state, when SBT01 is "99999," then this free-form name could be an agreed upon code or standard. 1159 Test Score Interpretation Code Description: Code indicating unusual testing conditions that must be	1158 Subtest Code Description: Code indicating a particular subtest of a test Note to User: If there is only a total score (that is, there are nosubtests), use "00000". If TST01 indicates a state code, then "99999" in SBT01 indicates the subtest will be defined by mutual agreement within the state. The subtest name or indicator will follow in SBT02. Refer to Appendix B for the complete code list. 93 Name (Subtest Name) Description: Free-form name Note to User: By agreement within a state, when SBT01 is "99999," then this free-form name could be an agreed upon code or standard. 1159 Test Score Interpretation Code Description: Code indicating unusual testing conditions that must be	1158 Subtest Code Description: Code indicating a particular subtest of a test Note to User: If there is only a total score (that is, there are nosubtests), use "00000". If TST01 indicates a state code, then "99999" in SBT01 indicates the subtest will be defined by mutual agreement within the state. The subtest name or indicator will follow in SBT02. Refer to Appendix B for the complete code list. 93 Name (Subtest Name) O AN 1/60

Note to User:

At least one SBT record is required for each TST record sent. At least one SRE segment is required for each SBT segment sent.

SRE Test Scores

Pos: 290 Max: 3 Heading - Optional Loop: N/A Elems: 2

To provide scores on tests that a student has taken

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
CDE01	11(0	Took Soons Overliffer Code (Trues of Took Soons)	M	ID	1/1	Manatanaa
SRE01	1160	Test Score Qualifier Code (Type of Test Score) Description: Code indicating the manner in which the actual academic test score result is reported Code NAME 1 Scaled Score 2 Grade Equivalent or Grade Level Indicator 3 Standard Score 4 Raw Score 5 Percent of Items Correct 6 Mastery Score 7 Adjective Classification or Locally Defined Score 8 Stanine 9 Percentile A Normal Curve Equivalent B Equated Score (pre-test and post-test were different) Z Locally Defined	M	ID	1/1	Must use
SRE02	352	Description (Test Score) Description: A free-form description to clarify the related data elements and their content Note to User: This is the actual test score value.	М	AN	1/80	Must use

Semantics:

1. SRE02 is the value of the score that the student received on an academic test or ubtest.

Note to User:

At least one SRE record is required for each SBT segment that is sent.

NTE Note/Special Instruction

Pos: 300 Max: 2 Heading - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	M	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, under ANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment is used to provide vital additional information about a test, subtest or test score that cannot otherwise be transmitted.

SUM Academic Summary

Pos: 310 Max: 1 Heading - Optional Loop: SUM Elems: 18

To provide summary information for an academic session, a postsecondary degree, or for the entire student academic record

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
SUM01	1141	Academic Credit Type Code	X	ID	1/1	Used
SCIVIOI	1171	Description: Code indicating the type of credit used (awarded) by the	Α	110	1/1	Oscu
		sending institution				
		Code NAME				
		A Adult Credits				
		C Continuing Education Unit				
		G Carnegie Units				
		N No Credit				
		Q Quarter Hour Credit				
		S Semester Hour Credit				
		U Units				
		V Vocational Credits				
		X Other Type of Credit				
SUM02	1142	Academic Grade or Course Level Code	0	ID	1/2	Used
		Description: Code indicating the level or type or both, of work which is				
		reflected in the grade average and the credit hours				
		Note to User: This is the level of work which is reflected in the GPA				
		and hours carried on this academic summary record. It is important that				
		this element be sent as part of the segment if the segment is included.				
		Code NAME				
		1 Remedial				
		2 Basic				
		3 Teacher's Aide				
		4 General				
		5 Applied 6 Survey				
		7 Regular				
		8 Specialized Topics				
		9 Advanced				
		10 Honors				
		11 Gifted and Talented				
		12 Advanced Placement				
		13 Special Education				
		14 Vocational Education				
		15 Independent Study				
		16 Work Experience				
		17 Adult Basic				
		18 Adult Secondary				
		19 International Baccalaureate				
		A Summary of all courses taken at all institutions				
		AR Academic Renewal B Summary of all courses taken at sending institution				
		D Dual Level (Upper Division and Graduate)				

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		DL Dual Level (Graduate and Professional) E Summary of All Courses Taken at All Institutions, Excluding Repeated and/or Forgiven Courses F Summary of All Courses Taken at the Sending Institution, Excluding Repeated and/or Forgiven Courses G Graduate (postsecondary) H Higher or Upper division (postsecondary) I Institutional Credit L Lower division (postsecondary) M Work in the Major or Program P Professional R Remedial (postsecondary) T Summary of Transfer Work Only U Undergraduate (postsecondary) V Summary of Transfer Work Only, Excluding Repeated and/or Forgiven Courses				
SUM03	1073	Yes/No Condition or Response Code (Cumulative Summary Indicator) Description: Code indicating a Yes or No condition or response Code NAME Yes Description: This is a summary of all work included in the record.	0	ID	1/1	Used
SUM04	380	Quantity (Academic Credit Hours Included in GPA) Description: Numeric value of quantity Note to User: This is the total number of credits (or credit hours) included in the grade point average for this particular summary. Inclusion or exclusion of certain credits depends on the policy of the sending institution. If this element is sent, then SUM01 must be sent.	0	R	1/15	Used
SUM05	380	Quantity (Academic Credit Hours Attempted or Paid For) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) included on the record for this particular summary. This would normally be all credits for which the student paid, whether or not the credits were used to calculate the grade point average. Examples of credit hours to be included, if available, are credits for which non-punitive grades such as "I" or "W" or "Audit" were awarded. If this element is sent, then SUM01 must be sent.	0	R	1/15	Used
SUM06	380	Quantity (Academic Credit Hours Earned) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) attempted and earned and included in this summary.	0	R	1/15	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
SUM07	740	Range Minimum (Lowest Possible Grade Point Average) Description: The value specifying the minimum of the measurement range Note to User: This is the lowest possible grade point average (GPA) that a student could obtain on the institution's grading scale. For example, on the typical traditional 4.0 scale, this would be 0. However, on some scales this could be a negative number, such as -1. This field must be included if that default assumption is not accurate. The "R" data type indicates that the decimal should be included unless the value is a whole number.	X	R	1/20	Used
SUM08	741	Range Maximum (Highest Possible Grade Point Average) Description: The value specifying the maximum of the measurement range Note to User: This is the highest possible grade point average (GPA) that a student could obtain on the institution's grading scale. For example, on the typical, traditional 4.0 scale, this would be a 4. On some scales, this might be 3, or 100, or 4.5. Some institutions have a policy that will allow a weighted grade for an honors course. This should be indicated in SUM10.	X	R	1/20	Used
SUM09	1144	Academic Grade Point Average Description: The grade point average of a student	0	R	1/6	Used
SUM10	1073	Yes/No Condition or Response Code (Excessive GPA Indicator) Description: Code indicating a Yes or No condition or response Note to User: This is a code indicating that an A+ grade has a value higher than the highest possible GPA. Code NAME N Description: It is not possible to have a higher GPA than indicated in SUM08. Y Yes Description: It is possible to have a higher GPA than indicated in SUM08.	0	ID	1/1	Used
SUM11	1145	Class Rank Description: This is a student's numerical class rank with the highest student in the class having a rank or position of 1 Note to User: This optional element will not normally be sent as part of the postsecondary transcript but will be received as part of the PreK-Grade 12 student record.	О	N0	1/4	Used
SUM12	380	Quantity (Total Number of Students in Class) Description: Numeric value of quantity Note to User: This is the total number of students in the class to help position the student's rank.	0	R	1/15	Used
SUM13	1250	Date Time Period Format Qualifier (Format for Date of Class	X	ID	2/3	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		Ranking) Description: Code indicating the date format, time format, or date and time format Note to User: This indicates the format of the date that the class rank was determined. It is not sent if SUM11, 12, and 14 are not sent.				
		Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY				
SUM14	1251	Date Time Period (Date of Class Ranking) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the date the class rank was determined. It is sent in the format indicated in SUM13. It is not sent if SUM11, 12, and 13 are not sent.	X	AN	1/35	Used
SUM15	607	Number of Days (Days Attended) Description: Count of Days	0	N0	1/3	K12 Only
SUM16	380	Quantity (Days Absent) Description: Numeric value of quantity	0	R	1/15	K12 Only
SUM17	380	Quantity (Quality Points Used to Calculate the GPA) Description: Numeric value of quantity Note to User: This quantity indicates the number of quality points used to compute the student's grade point average appearing in SUM09.	0	R	1/15	Used
SUM18	1558	Academic Summary Source Description: Code used to indicate the source of the summary data	0	ID	1/1	Not used

Syntax:

C0401 -- If SUM04 is present, then SUM01 is required.

C0501 -- If SUM05 is present, then SUM01 is required.

C0601 -- If SUM06 is present, then SUM01 is required.

P0708 -- If either SUM07 or SUM08 is present, then the other is required.

P1314 -- If either SUM13 or SUM14 is present, then the other is required.

Semantics:

- 1. SUM03 indicates if this is a summary for entire record up to that point in time. A "Y" indicates that this is a cumulative summary of the academic record up to that point; an "N" indicates that this is not a cumulative summary, but is a session.
- 2. SUM04 refers to credits used to compute grade point average.
- 3. SUM05 refers to credits attempted.
- 4. SUM06 refers to credits earned.
- 5. SUM07 and SUM08 are the lowest and highest, respectively, grade point averages possible under normal conditions.

- 6. SUM10 indicates if it is possible to have a grade point average higher than the maximum range. A "Y" indicates that it is possible to have a grade point average higher than the maximum range; an "N" indicates that it is not possible.
- 7. SUM11 refers to the student's position or rank in the class, while SUM12 refers to the total number in the class.
- 8. SUM14 refers to the date of the class ranking.
- 9. SUM15 is the days attended.
- 10. SUM16 is the days absent.
- 11. SUM17 indicates quality points used to compute the student's grade point average.

Note to User:

Inclusion of this SUM segment as part of the postsecondary transcript is for transmitting summary totals of credits earned and the grade point averages for the overall student record. It may also be used to send summary totals for all work taken at a certain level (lower division, undergraduate, graduates, etc.).

The Pre K - Grade 12 schools use this segment to transmit information about academic progress for grade 9-12 students and class rank. It is at the receiving institution's discretion to use these totals, since the receiving institution may also choose to recalculate any or all of these totals using that institution's policies. However, this would give an indication of how the sending institution summarized the record.

The acknowledgment process for the TS130 requires that the recipient of the TS130 return the last occurrence of this segment to the sender of the TS130. If no SUM segment is transmitted in this position of the TS130, then the recipient will not return a SUM segment in the TS131 acknowledgment.

April 1998 Version 4.0 68 ANSI ASC X12 Basis: 4010

NTE Note/Special Instruction

Pos: 320 Max: 50 Heading - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	M	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, under ANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment is used to provide additional vital information to the receiving institution about the summary for the record.

LX Assigned Number

Pos: 010 Max: 1 Detail - Optional Loop: LX Elems: 1

To reference a line number in a transaction set

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
LX01	554	Assigned Number	M	N0	1/6	Must use
2201	354	Description: Number assigned for differentiation within a transaction set	1,1	110	1/0	171dSt dSC
		Note to User: This may be any number the sender chooses to send.				

Note to User:

This segment and loop are used only to signal the end of the heading area (Table 1) and the beginning of the detail area (Table 2).

IMM

Immunization Status Code

Pos: 030 Max: 1000 Detail - Optional Loop: N/A Elems: 5

To provide the receiving school district or postsecondary institution with a notice of the immunization status of the student

Ref	<u>Id</u>	Element Name	Re	Type Type	Min/Max	Usage
IMM01	1253	Immunization Type Code	M	ID	3/6	Must use
		Description: The type of immunization a person received				
		Note to User: Immunizations are identified by a subset of code lists maintained outside of the X12 standards. Valid codes are:				
		V03.1 Vaccine for Typhiod-Paratyphoid alone				
		V03.2 Vaccine for Tuberculosis				
		V03.6 Vaccine for Pertussis				
		V03.7 Vaccine for Tetanus Toxoid alone				
		V03.81 Vaccine for Hemophilus Influenza, Type B				
		V03.82 Vaccine for Streptococcus Pneumoniae				
		V03.9 Vaccine for Single Bacterial Disease NEC				
		1				
		V04.1 Vaccine for Smallpox				
		V04.2 Vaccine for Measles				
		V04.3 Vaccine for Rubella				
		V04.6 Vaccine for Mumps				
		V04.8 Vaccine for Influenza				
		V05.3 Vaccine for Viral Hepatitis				
		V06.1 Vaccine for DTP				
		V06.3 Vaccine for DTP + Polio				
		V06.4 Vaccine for Measles-Mumps-Rubella [MMR]				
		V06.8 Vaccine for Other Combinations				
		90701 DTP Immunization				
		90702 DT Immunization				
		90703 Tetanus Immunization				
		90704 Mumps Immunization				
		90705 Measles Immunization				
		90706 Rubella Immunization				
		90707 MMR Virus Immunization				
		90708 Measles-Rubella Immunization 90712 Oral Poliovirus Immunization				
		90718 TD Immunization 90728 BCG Immunization				
		90744 Hepatitis B Immunization				
		70/44 Hepatius D Hillindinzation				
IMM02	1250	Date Time Period Format Qualifier (Format for Immunization D	ate) X	ID	2/3	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		Description: Code indicating the date format, time format, or date and time format Note to User: This specifies the format of the immunization date which appears in IMM03. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY				
IMM03	1251	Date Time Period (Date of Immunization) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the date of immunization sent in the format indicated in IMM02.	X	AN	1/35	Used
IMM04	1254	Immunization Status Code Description: Code indicating the status of an immunization conducted on a person Code NAME 1 First Inoculation 2 Second Inoculation 3 Third Inoculation 4 Fourth Inoculation 5 Fifth Inoculation 6 Sixth Inoculation 7 Seventh Inoculation 8 Eighth Inoculation 9 Ninth Inoculation 10 Medical Exemption 11 Personal Exemption 12 Religious Exemption 13 Had the Disease 14 Has Not Had the Disease	X	ID	1/2	Used
IMM05	755	Report Type Code (Immunization Record Source Code) Description: Code indicating the title or contents of a document, report or supporting item Note to User: This is the code indicating the record used to verify immunization of a student. Code NAME CQ County Record HC Health Certificate HR Health Clinic Records IR State School Immunization Records MG Migrant Student Records Transfer System (MSRTS) Record PY Physician's Report	0	ID	2/2	Used

Syntax:

P0203 -- If either IMM02 or IMM03 is present, then the other is required.

C0304 -- If IMM03 is present, then IMM04 is required

Semantics:

1. IMM03 gives the date of the immunization.

SES Academic Session Header

Pos: 180 Max: 1
Detail - Optional
Loop: SES Elems: 14

To identify the particular academic session in which the activity which follows took place

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
SES01	1251	Date Time Period (Session Starting Date to Identify Session) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the expression of the year and month in which this session began in format CCYYMM. Where courses are only recorded by school year, SES01 should indicate the beginning month and year of the school year.	M	AN	1/35	Must use
SES02	609	Count (To Identify Specific Session) Description: Occurrence counter Note to User: This is a one-digit counter to distinguish among several different sessions which all began in the same month and year. If a student was enrolled simultaneously in two separate institutions in the same term, the value of this element for the first session to be sent is "1" and for the second session is "2." Two or more session sequence numbers could also be used to differentiate among various courses taken in the same session but for different purposes. For example, session sequence "1" could be used for all courses taken at the undergraduate level, while session sequence "2" could be used to indicate another course was taken for graduate credit. It could also be used to group different courses with different ending dates (another way of doing this is to use the optional CSU segment).	0	NO	1/9	Used
SES03	1251	Date Time Period (School Year for PreK-Grade 12 Record) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the school year (as defined by the sending PreK-Grade 12 school or agency) in which the session is held. The format for this element is CCYY-CCYY. This element is not part of the postsecondary transcript, but it will be received from PreK-Grade 12 schools as part of the high school transcript.	0	AN	1/35	Used
SES04	1139	Session Code (Type of Session) Description: Code identifying the type of academic session at an educational institution Code NAME 1 Full year 2 Semester 3 Trimester 4 Quarter	0	ID	1/1	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
		5 Quinmester 6 Mini-term 7 Summer Session 8 Intersession (Year Round Schools) 9 Long session which is longer than a semester or quarter or trimester but shorter than a full year				
SES05	93	Name (Name of Session) Description: Free-form name Note to User: This is the free-form name of the session; for example, "Fall Semester 1990".	0	AN	1/60	Used
SES06	1250	Date Time Period Format Qualifier (Format for Session Starting Date) Description: Code indicating the date format, time format, or date and time format Note to User: This is the format for the date used in SES07 for the start date of the session. Code NAME CM Date in Format CCYYMM D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used
SES07	1251	Date Time Period (Session Starting Date) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the starting date sent in the format indicated in SES06.	X	AN	1/35	Used
SES08	1250	Date Time Period Format Qualifier (Format For Session Ending Date) Description: Code indicating the date format, time format, or date and time format Note to User: This is the format for the date used in SES09 for the ending date of the session. Code NAME CM Date in Format CCYYMM D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used
SES09	1251	Date Time Period (Session Ending Date) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the session end date sent in the format indicated in SES08.	X	AN	1/35	Used
SES10	1131	Level of Individual, Test, or Course Code (Grade or Academic Level of Student) Description: Code identifying level of course, test, or student Note to User: This is the code identifying the grade level or academic	0	ID	2/2	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		level of the student for this session. This does not refer to the level of courses taken.				
		Code NAME 01 First grade 02 Second grade 03 Third grade 04 Fourth grade 05 Fifth grade 06 Sixth grade 07 Seventh grade 08 Eighth grade 09 Ninth grade 09 Ninth grade 01 Tenth grade 00 K Kindergarten 10 Tenth grade 11 Eleventh grade 12 Twelfth grade 13 Twelfth grade 14 Postsecondary First Year Student 15 Postsecondary First Year Student 16 Postsecondary Sophomore 17 Postsecondary Sophomore 18 Postsecondary Sophomore 19 Postsecondary Sophomore 19 Postsecondary Sophomore 10 Postsecondary Sophomore 10 Postsecondary Post-Baccalaureate Student 10 Postsecondary Post-Baccalaureate Student 11 Postsecondary Master's Degree Student 12 Postsecondary Master's Degree Student 13 Postsecondary Bachelor Preliminary Year 14 Postsecondary Bachelor Preliminary Year 15 Postsecondary Master's Degree Student 16 Postsecondary Bachelor Preliminary Year 17 Postsecondary Bachelor Preliminary Year 18 Postsecondary Master's Qualifying Year 19 Postsecondary Masters Qualifying Year 20 Postsecondary Masters Qualifying Year 21 Postsecondary Masters Qualifying Year 22 Postsecondary Masters Qualifying Year 23 Postsecondary Masters Qualifying Year 24 Postsecondary Masters Qualifying Year 25 Postsecondary Masters Qualifying Year 26 Postsecondary Masters Qualifying Year 27 Postsecondary Masters Qualifying Year 28 Postsecondary Masters Qualifying Year 29 Postsecondary Masters Qualifying Year 20 Postsecondary Pre-Kindergarten Level 1 21 Pre-Kindergarten Level 2 22 Pre-Kindergarten Level 3 23 Pre-Kindergarten Level 3 24 Pre-Kindergarten Level 5				
		PF Professional PK Pre-Kindergarten UN Ungraded				
SES11	66	Identification Code Qualifier (Curriculum Code Qualifier)	X	ID	1/2	PostSec Only
		Description: Code designating the system/method of code structure used for Identification Code (67)				
		Note to User: This element identifies the code set used to specify a				
		curriculum.				
		 Code NAME Classification of Instructional Programs (CIP) coding structure maintained by the U.S. Department of Education's National Center for Education Statistics Higher Education General Information Survey (HEGIS) maintained by the U.S. Department of Education's National 				
		Center for Education Statistics				

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		CA Statistics Canada Canadian College Student Information System Course Codes CC Statistics Canada University Student Information System Curriculum Codes				
SES12	67	Identification Code (Curriculum Code) Description: Code identifying a party or other code Note to User: This code is taken from the code list indicated in SES11 and identifies the program in which the student is enrolled.	X	AN	2/80	PostSec Only
SES13	93	Name (Name of Curriculum) Description: Free-form name Note to User: This element gives the name of the curriculum in free-form text. It is recommended that this text information not be sent if SES12 is included.	0	AN	1/60	PostSec Only
SES14	641	Status Reason Code (Honors or Delinquency Code) Description: Code indicating the status reason Note to User: This is a code used in postsecondary transcripts to identify the status of a student at the end of the session. Code NAME B35 Highest Honors B36 Second Highest Honors B37 Third Highest Honors B38 Dropped B39 Academic Probation B40 Suspended D26 Retained in Current Grade D27 Placed in Next Grade After Expected Grade D28 Placed in Transitional Program (K-1) D29 Status Pending Completion of Summer School (K-12) D31 Administratively Placed in a Higher Grade D32 Academically Placed in a Higher Grade D33 Promotion Status not Applicable D34 Promoted EB3 Withdrawn	0	ID	3/3	Used

Syntax:

P0607 -- If either SES06 or SES07 is present, then the other is required.

P0809 -- If either SES08 or SES09 is present, then the other is required.

P1112 -- If either SES11 or SES12 is present, then the other is required.

Semantics:

- 1. SES01 refers in general to the date the overall session began.
- 2. SES01 is in the format of CCYYMM.
- 3. SES02 refers to the individual specific session falling within the overall general session.
- 4. SES03 refers to the school year for prekindergarten through grade 12 records.
- 5. SES03 is in the format of CCYY-CCYY.
- 6. SES07 is the exact date the session began.

- 7. SES09 is the date the session ended.
- 8. SES10 refers to the grade level of the student during this session.
- 9. SES11, SES12, and SES13 refer to the major field of study for the student.
- 10. SES14 indicates if the student received special recognition (honors) or was suspended or put on probation at the end of the session.

Note to User:

The session is also commonly known as the term, semester, quarter or school year. All courses taken in the session and/or degrees earned in the session should follow the session header.

Because there is no consistency in how particular sessions are identified on transcripts, it was decided to use the concept of identifying the session as the month and year the session began. The receiving institution or agency can then transform this session identifier to whatever that institution uses.

SSE Entry and Exit Information

Pos: 185 Max: 1 Detail - Optional Loop: N/A Elems: 4

To provide information concerning the entry into or withdrawal from a school, school program, school district, or postsecondary institution

SSE01	373	1_				
DDLUI		Date (Session Entry Date)	0	DT	8/8	Used
	373	Description: Date expressed as CCYYMMDD			0/0	Oscu
		Note to User: This is the student's entry date when different from the				
		Session Starting Date (SES06-07).				
SSE02	373	Date (Session Exit Date)	О	DT	8/8	Used
		Description: Date expressed as CCYYMMDD				
		Note to User: This is the student's exit date when different from the				
		Session Ending Date (SES08-09).				
		Bossion Ending Bate (BESOO 07).				
SSE03	641	Status Reason Code (Reason for Entry/Exit)	0	ID	3/3	Used
		Description: Code indicating the status reason				
		Note to User: This is used to indicate the reason why the student				
		withdrew early from the session or entered after the session began.				
		Code NAME				
		B27 Student is eligible to continue or return or both				
		B28 Student is on suspension or dismissal				
		B29 Student is expelled (from PreK - grade 12)				
		B38 Dropped				
		B40 Suspended				
		B51 Student on Suspension or Dismissal; Eligible to Apply for Reentry				
		B52 According to established regulations or statutes				
		D03 Student has attended a nonpublic school or home education				
		program in- or out-of-state this year				
		D04 Student was received from another attendance reporting unit in				
		the same school				
		D05 Student was received from a school in the same district D06 Student was received from another public school outside the				
		district either in- or out-of-state				
		D07 Student was received from a nonpublic school either in or out o	f			
		the district or has returned after having been enrolled in a home				
		education program; The student must have been enrolled				
		previously in a public school this year				
		D08 Student unexpectedly reentered the same school after				
		withdrawing or being discharged				
		D09 Student was expected to attend a school but did not enter as				
		expected for unknown reasons				
		D10 Student was promoted, retained, or transferred to another				
		attendance-reporting unit in the same school				
		D11 Student was promoted, retained, or transferred to another school in the same district				

	<u>Id</u> _	-	Element Name	Req	Type	Min/Max	<u>Usage</u>
		D12	Student withdrew to attend another public school in the same				
			district				
		D13	Student withdrew to attend another public school in- or out-of-				
			state				
		D14	Student Over Compulsory Attendance Age Left School				
			Voluntarily with No Intention of Returning				
			Student Graduated from School with a Standard Diploma				
			Student Graduated from School with a Special Diploma				
			Student Left School with a Certificate of Completion				
			Student Left School with a Special Certificate of Completion				
		D19	Student Left School with a State General Education				
			Development (GED) High School Diploma				
		D20	Student Withdrew to Attend a Non-Public School or Home				
			Education Program In- or Out-of-State.				
			Student withdrew from school due to hardship				
		D22	Student has not entered any school in this or any other state this				
		5.00	school year				
		D23	Previously attended out-of-state public school but is entering a				
		D04	public school in this state for the first time this school year				
			Returned to Regular Education Program				
			Graduate from a College				
			Transfer from a University Program				
			Graduate from a University Program				
			Exchange Student				
			Returning Student Admitted to a New Program				
			Returning Student Admitted to the Same Program				
		D39	Returning or Continuing Student Changing to Unclassified or General or Unspecified Studies				
		D60	Continuing Student Changing to a New Program				
			Special Permission				
			Graduate from a Technical Institute				
			Transfer from a College				
			Deceased				
			Withdrawn				
			Graduated				
SSE04	1470	Number		0	NO	1/9	Not used
20204	1.70		ion: A generic number	3	110	1/2	1.00 abou

Semantics:

- $1. \quad SSE01 \ refers \ to \ the \ entry \ date \ of \ the \ student \ in \ a \ school, \ school \ district, \ or \ school \ program.$
- 2. SSE02 refers to the exit date of the student in a school, school district, or school program.
- 3. SSE03 refers to the reason why the student entered or left the school, school district, or school program.
- 4. SSE04 refers to the priority of choice for an intended entry into a school, school program, school district, or postsecondary institution.

NTE Note/Special Instruction

Pos: 190 Max: 50 Detail - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	M	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, underANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment is used to transmit additional vital information about the academic session that is not otherwise transmittable.

N1 Name (Override Institution)

Pos: 200 Max: 1 Detail - Optional Loop: N/A Elems: 6

To identify a party by type of organization, name, and code

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N101	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual Note to User: This is the code identifying an institution or agency as an Override Institution. That is, the information provided in the current SES loop pertains to an institution or agency other than the sending institution or agency. Code NAME OS Override Institution; this is not the institution sending the record	M	ID	2/3	Must use
N102	93	Name (Override Institution/Agency Name) Description: Free-form name Note to User: This is the free-form name of the override institution or agency. Send this element only if it is not possible to use N103 and N104 to identify the name by code.	X	AN	1/60	Used
N103	66	 Identification Code Qualifier (Institution Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: A crosswalk table for code sets 71, 72, 73, 74, CB and CS is available on diskette from AACRAO at (202) 293-9161. Code NAME 71 Integrated Postsecondary Education Data System (IPEDS) set of codes maintained by the U.S. Department of Education's National Center of Education Statistics 72 The College Board's Admission Testing Program (ATP) 73 Federal Interagency Commission on Education (FICE) number. Available from the United States Department of Education 74 American College Testing (ACT) list of postsecondary educational institutions. 77 National Center for Education Statistics (NCES) Common Core of Data (CCD) number for PreK - 12 institutions 78 The College Board and ACT 6 digit code list of secondary educational institutions CB Statistics Canada Canadian College Student Information System Institution Codes CS Statistics Canada University Student Information System University Codes 	X	ID	1/2	Used
N104	67	Identification Code (Institution Code) Description: Code identifying a party or other code	X	AN	2/80	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
		Note to User: This code identifies the institution from the code list specified in N103.				
N105	706	Entity Relationship Code Description: Code describing entity relationship	0	ID	2/2	Not used
N106	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual	0	ID	2/3	Not used

Syntax:

R0203 -- At least one of N102 or N103 is required.P0304 -- If either N103 or N104 is present, then the other is required.

Comments:

- 1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the Institution Code Qualifier (N103) and Code (N104) must be understood by both sender and receiver.
- 2. N105 and N106 further define the type of entity in N101.

Note to User:

This segment is used to specify an institution or agency associated with this academic session if different from the sender of the transcript.

N3 Address Information

Pos: 210 Max: 1 Detail - Optional Loop: N/A Elems: 2

To specify the location of the named party

Element Summary:

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage _
N301	166	Address Information Description: Address information	M	AN	1/55	Must use
N302	166	Address Information Description: Address information	0	AN	1/55	Used

Note to User:

This segment is used to specify the street address for the override institution or agency. It is recommended that free-form text not be used except as required for clarity.

N4 Geographic Location

Pos: 220 Max: 1 Detail - Optional Loop: N/A Elems: 6

To specify the geographic place of the named party

Element Summary:

Ref _	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
N401	19	City Name Description: Free-form text for city name Note to User: This free-form element is used if needed for clarity.	О	AN	2/30	Used
N402	156	State or Province Code Description: Code (Standard State/Province) as defined by appropriate government agency	О	ID	2/2	Used
		Note to User: This element is sent if needed for clarity. Refer to Appendix B for the complete code list.				
N403	116	Postal Code Description: Code defining international postal zone code excluding punctuation and blanks (zip code for United States)	О	ID	3/15	K12 Only
N404	26	Country Code Description: Code identifying the country	0	ID	2/3	K12 Only
N405	309	Location Qualifier Description: Code identifying type of location	X	ID	1/2	K12 Only
N406	310	Location Identifier Description: Code which identifies a specific location	0	AN	1/30	K12 Only

Syntax:

C0605 -- If N406 is present, then N405 is required

Comments:

- 1. A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2. N402 is required only if city name (N401) is in the U.S. or Canada.

Note to User:

This segment is used to specify the geographic location of the override institution or agency.

SUM Academic Summary

Pos: 230 Max: 1 Detail - Optional Loop: SUM Elems: 18

To provide summary information for an academic session, a postsecondary degree, or for the entire student academic record

Ref	<u>Id</u> _	Element Name	Req	Type	Min/Max	Usage
		-				
SUM01	1141	Academic Credit Type Code	X	ID	1/1	Used
		Description: Code indicating the type of credit used (awarded) by the				
		sending institution				
		Code NAME				
		A Adult Credits				
		C Continuing Education Unit				
		G Carnegie Units				
		N No Credit				
		Q Quarter Hour Credit				
		S Semester Hour Credit U Units				
		U Units V Vocational Credits				
		X Other Type of Credit				
SUM02	1142	Academic Grade or Course Level Code	О	ID	1/2	Used
		Description: Code indicating the level or type or both, of work which is				
		reflected in the grade average and the credit hours				
		Code NAME				
		1 Remedial				
		2 Basic				
		3 Teacher's Aide				
		4 General				
		5 Applied				
		6 Survey				
		7 Regular				
		8 Specialized Topics				
		9 Advanced				
		10 Honors				
		11 Gifted and Talented				
		12 Advanced Placement				
		13 Special Education 14 Vocational Education				
		15 Independent Study				
		16 Work Experience				
		17 Adult Basic				
		18 Adult Secondary				
		19 International Baccalaureate				
		A Summary of all courses taken at all institutions				
		AR Academic Renewal				
		B Summary of all courses taken at sending institution				
		D Dual Level (Upper Division and Graduate)				
		DL Dual Level (Graduate and Professional)				
		E Summary of All Courses Taken at All Institutions, Excluding				
		Repeated and/or Forgiven Courses				
		F Summary of All Courses Taken at the Sending Institution,				

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		Excluding Repeated and/or Forgiven Courses G Graduate (postsecondary) H Higher or Upper division (postsecondary) I Institutional Credit L Lower division (postsecondary) M Work in the Major or Program P Professional R Remedial (postsecondary) T Summary of Transfer Work Only U Undergraduate (postsecondary) V Summary of Transfer Work Only, Excluding Repeated and/or Forgiven Courses				
SUM03	1073	Yes/No Condition or Response Code (Cumulative Summary Indicator) Description: Code indicating a Yes or No condition or response Note to User: This is the code indicating if this segment is included as a cumulative summary of all work included up to this point or a summary for this session only. Code NAME N Description: This is a summary for work for this session only. Y Yes Description: This is a cumulative summary of all work included on the record up to this point.	0	ID	1/1	Used
SUM04	380	Quantity (Academic Credit Hours Included in GPA) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) included in the grade point average for this particular summary. Inclusion or exclusion of certain credits depends on the policy of the sending institution. If this element is sent, then SUM01 must be sent.	0	R	1/15	Used
SUM05	380	Quantity (Academic Credit Hours Attempted or Paid For) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) included on the record for this particular summary. This would normally be all credits for which the student paid, whether or not the credits were used to calculate the grade point average. Examples of credit hours to be included, if available, are credits for which non-punitive grades such as "I" or "W" or "Audit" were awarded. If this element is sent, then SUM01 must be sent.	0	R	1/15	Used
SUM06	380	Quantity (Academic Credit Hours Earned) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) attempted and earned and included in this summary.	0	R	1/15	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
SUM07	740	Range Minimum (Lowest Possible Grade Point Average) Description: The value specifying the minimum of the measurement range Note to User: This is the lowest possible grade point average (GPA) that a student could obtain on the institution's grading scale. For example, on the typical, traditional 4.0 scale, this would be 0. However, on some scales this could be a negative number, such as -1. This field must be included if that default assumption is not accurate. The "R" data type indicates that the decimal should be included unless the value is a whole number.	X	R	1/20	Used
SUM08	741	Range Maximum (Highest Possible Grade Point Average) Description: The value specifying the maximum of the measurement range Note to User: This is the highest possible grade point average (GPA) that a student could obtain on the institution's grading scale. For example, on the typical, traditional 4.0 scale, this would be 4.0. On some scales this might be 3, 100, or 4.5. Some institutions have a policy that will allow a weighted grade for an honors course. This should be indicated in SUM10.	X	R	1/20	Used
SUM09	1144	Academic Grade Point Average Description: The grade point average of a student	0	R	1/6	Used
SUM10	1073	Yes/No Condition or Response Code (Excessive GPA Indicator) Description: Code indicating a Yes or No condition or response Note to User: This is a code indicating that an A+ grade has a value higher than the highest possible GPA or that certain courses have a higher value than regular courses. Code NAME NO Description: It is not possible to have a higher GPA than indicated in SUM08. Y Yes Description: It is possible to have a higher GPA than indicated in SUM08.	O	ID	1/1	Used
SUM11	1145	Class Rank Description: This is a student's numerical class rank for this session where 1 is the highest rank. Note to User: The 1996 AACRAO Academic Record and Transcript Guide does not recommend the inclusion of class rank for a single session on an official postsecondary transcript.	0	N0	1/4	Used
SUM12	380	Quantity (Total Number of Students in Class) Description: Numeric value of quantity Note to User: This is the total number of students in class to help position the student's rank. This element would not be sent if SUM11 is	0	R	1/15	Used

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		not used.				
SUM13	1250	Date Time Period Format Qualifier (Format for Date of Class Ranking) Description: Code indicating the date format, time format, or date and time format Note to User: SUM 13 is sent only if class rank information in SUM11, 12 and 14 is sent. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	K12 Only
SUM14	1251	Date Time Period (Date of Class Ranking) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: SUM 14 is sent only if class rank information in SUM11, 12 and 13 is sent.	X	AN	1/35	K12 Only
SUM15	607	Number of Days (Days Attended) Description: Count of Days	0	N0	1/3	K12 Only
SUM16	380	Quantity (Days Absent) Description: Numeric value of quantity	0	R	1/15	K12 Only
SUM17	380	Quantity (Quality Points Used to Calculate GPA) Description: Numeric value of quantity Note to User: Indicates the number of quality points used to calculate the student's grade point average in SUM09.	О	R	1/15	Used
SUM18	1558	Academic Summary Source Description: Code used to indicate the source of the summary data	0	ID	1/1	K12 Only

Syntax:

C0401 -- If SUM04 is present, then SUM01 is required.

 $C0501\mbox{ --}$ If SUM05 is present, then SUM01 is required.

C0601 -- If SUM06 is present, then SUM01 is required.

P0708 -- If either SUM07 or SUM08 is present, then the other is required.

P1314 -- If either SUM13 or SUM14 is present, then the other is required.

Semantics:

- 1. SUM03 indicates if this is a summary for entire record up to that point in time. A "Y" indicates that this is a cumulative summary of the academic record up to that point; an "N" indicates that this is not a cumulative summary, but is a session.
- 2. SUM04 refers to credits used to compute grade point average.
- 3. SUM05 refers to credits attempted.
- 4. SUM06 refers to credits earned.

- 5. SUM07 and SUM08 are the lowest and highest, respectively, grade point averages possible under normal conditions.
- 6. SUM10 indicates if it is possible to have a grade point average higher than the maximum range. A "Y" indicates that it is possible to have a grade point average higher than the maximum range; an "N" indicates that it is not possible.
- 7. SUM11 refers to the student's position or rank in the class, while SUM12 refers to the total number in theclass.
- 8. SUM14 refers to the date of the class ranking.
- 9. SUM15 is the days attended.
- 10. SUM16 is the days absent.
- 11. SUM17 indicates quality points used to compute the student's grade point average.

Note to User:

Inclusion of the SUM segment as part of the postsecondary transcript is for transmitting the number of credits earned and the grade point average obtained in a particular session or program. For the PreK-Grade 12 record, information on credits earned, grade point average, and class rank for students in grades 9-12 will be transmitted in this segment.

April 1998 Version 4.0 90 ANSI ASC X12 Basis: 4010

NTE Note/Special Instruction

Pos: 240 Max: 5 Detail - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	M	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, under ANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment is used to transmit additional vital information to the receiving institution or agency about the summary for the academic session.

CRS Course Record

Pos: 250 Max: 1
Detail - Optional
Loop: CRS Elems: 20

To provide the receiving institution or organization with information about courses taken and the status of those courses

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
CRS01	1147	Basis for Academic Credit Code Description: Code indicating how academic credit was granted by an institution or earned by a student Code NAME A The College Board's Advance Placement (AP) Program B Credit by Exam C College Level Examination Program (CLEP) D Credit Awarded for US Department of Defense DANTES Program E Departmental or Institutional Credit based on experience (Life Experience) F Study Abroad G The College Board's (CEEB) Credit H Completion or Removal of an Incomplete Grade I International Baccalaureate M Military Credit N Correspondence P American Council on Education Program on Noncollegiate Sponsored Instruction (ACE/PONSI) Credit Recommendation R Regular Enrollment T Academic Credits Transferred in From Another Institution V Audit or Visitor Status (No Credit Awarded) W Work Experience X Not Applicable (for PreK - Grade 12 use) Z Other Types Not Included in Above List	M	ID	1/1	Must use
CRS02	1141	Academic Credit Type Code Description: Code indicating the type of credit used (awarded) by the sending institution Code NAME A Adult Credits C Continuing Education Unit G Carnegie Units N No Credit Q Quarter Hour Credit S Semester Hour Credit U Units V Vocational Credits X Other Type of Credit	0	ID	1/1	Used
CRS03	380	Quantity (Course Credit Hours) Description: Numeric value of quantity Note to User: This is the number of academic credits the course is worth. If a student earned full credit for the course, the value of this	0	R	1/15	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		element should be the same as for CRS04.				
CRS04	380	Quantity (Academic Credit Hours Earned) Description: Numeric value of quantity Note to User: This is the number of credits that the student earned for this course as determined by the institution or agency sending the record.	0	R	1/15	Used
CRS05	1148	Academic Grade Qualifier Description: The grading scale used by an academic institution for an academic course Note to User: This is based on the American Medical Colleges Admissions Services (AMCAS) grade scale, values 001 through 499. Other miscellaneous grade types in the range of 500 to 999 can be used as well. Refer to Appendix B for the complete code list.	X	ID	1/3	Used
CRS06	1258	Academic Grade Description: This indicates the grade that a student received for participation in an academic course Note to User: This is the academic grade awarded to the student for the course. This data element is required if CRS05 is 001 through 499. It is not required if the course was taken at another institution (transfer work) or if the value of CRS05 is 500 through 999.	0	AN	1/3	Used
CRS07	1073	Yes/No Condition or Response Code (Honors Indicator) Description: Code indicating a Yes or No condition or response Code NAME No Description: The course is not an honors course. Y Yes Description: The course is an honors course.	0	ID	1/1	Used
CRS08	1142	Academic Grade or Course Level Code (Course Level) Description: Code indicating the level or type or both, of work which is reflected in the grade average and the credit hours Code NAME Remedial Basic Teacher's Aide General Applied Survey Regular Specialized Topics Advanced Dual Level (Upper Division and Graduate) Graduate (postsecondary) H Higher or Upper division (postsecondary) I Institutional Credit L Lower division (postsecondary) M Work in the Major or Program P Professional R Remedial (postsecondary)	0	ID	1/2	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		U Undergraduate (postsecondary) 10 Honors 11 Gifted and Talented 12 Advanced Placement 13 Special Education 14 Vocational Education 15 Independent Study 16 Work Experience 17 Adult Basic 18 Adult Secondary 19 International Baccalaureate AR Academic Renewal DL Dual Level (Graduate and Professional)				
CRS09	1150	Course Repeat or No Count Indicator Code Description: Code indicating when an academic course has been repeated by a student and how that repeat is to be computed in the student's academic grade average Code NAME N Repeated Description: Not counted in GPA. R Repeated Description: Counted in GPA. X Other Description: Not counted in GPA (e.g., used for academic forgiveness or clemency).	0	ID	1/1	Used
CRS10	66	Identification Code Qualifier (Curriculum Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: CRS10 and 11 are used to describe the content of the course. The value of CRS10 indicates the code list used to specify the course content code in CRS11. Code NAME 75 State or Province Assigned Number 76 Local School District or Jurisdiction Number 81 Classification of Instructional Programs (CIP) coding structure maintained by the U.S. Department of Education's National Center for Education Statistics 82 Higher Education General Information Survey (HEGIS) maintained by the U.S. Department of Education's National Center for Education Statistics CA Statistics Canada Canadian College Student Information System Course Codes CC Statistics Canada University Student Information System Curriculum Codes	X	ID	1/2	Used
CRS11	67	Identification Code (Curriculum Code) Description: Code identifying a party or other code Note to User: This is a code, selected from the code list specified in CRS10, which best describes the course content. For example, if this is a computer science course and the value of CRS10 was "81", the value of this element would be "11.0101".	X	AN	2/80	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
CRS12	380	Quantity (Academic Quality Points) Description: Numeric value of quantity Note to User: This is the number of quality points earned by the student for participation in this course.	0	R	1/15	Used
CRS13	1131	Level of Individual, Test, or Course Code (Course Grade Level) Description: Code identifying level of course, test, or student Code NAME O1 First grade 02 Second grade 03 Third grade 04 Fourth grade 05 Fifth grade 06 Sixth grade 07 Seventh grade 09 Ninth grade 09 Ninth grade 10 Tenth grade 11 Eleventh grade 12 Twelfth grade 13 Postsecondary First Year Student 22 Postsecondary Sophomore 23 Postsecondary Senior 24 Postsecondary Senior 25 Postsecondary Post-Baccalaureate Student 26 Postsecondary Professional Student 27 Postsecondary Mon-Degree Graduate Student 28 Postsecondary Post-Baccalaureate Student 29 Postsecondary Post-Baccalaureate 30 Postdoctoral Student 31 Postsecondary Doctoral Student 32 Postsecondary Bachelor Preliminary Year 33 Postsecondary Bachelor Preliminary Year 34 Postsecondary Master's Qualifying Year 35 Postsecondary Masters Qualifying Year 36 Adult EL Elementary School IF Infant (0 to age 2) MS Middle or Junior High School PO Pre-Kindergarten Level 0 P1 Pre-Kindergarten Level 1 P2 Pre-Kindergarten Level 3 Pre-Kindergarten Level 3 Pre-Kindergarten Level 4 P5 Pre-Kindergarten Level 5 PF Professional PK Pre-Kindergarten Level 5 PF Professional	0	ID	2/2	Used
CRS14	93	Name (Course Subject Abbreviation or Alpha Prefix) Description: Free-form name Note to User: This is the department or area associated with the course at the sending institution. For example, ENGL (for English), WRITING	0	AN	1/60	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>
		(for composition), SOC (for sociology), and RUSS (for Russian).				
		Although this element is indicated as optional, it is essential that it and/or CRS15 be included as part of the postsecondary transcript. For thePreK - Grade 12 record, district, state or federal course numbers should be sent, in addition, in REF segments following the segment. One or more additional local course numbers can also be sent in the REF segment.				
CRS15	127	Reference Identification (Course Number) Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	0	AN	1/30	Used
		Note to User: Code which uniquely identifies the course at the sending institution from among similar subject area offerings. For example, 101 (as in ENGL 101).				
		It is essential that this element and/or CRS 14 be sent as part of the postsecondary transcript. For the PreK - Grade 12 record, district, state or federal course numbers should be sent, in addition, in REF segments following the segment. One or more additional local course numbers can also be sent in the REF segment.				
CRS16	93	Name (Course Title) Description: Free-form name Note to User: The course title should identify the content of the course	O	AN	1/60	Used
		to the extent possible in this short space. Although 60 characters are available, long titles may result in truncation by the receiving institution. It is absolutely essential that the course title be included as part of the postsecondary transcript. For the PreK - Grade 12 record, district, state or federal course titles should be sent, in addition, in the REF segments following the CRS segment. One or more additional local course titles can also be sent in the REF segment.				
CRS17	380	Quantity (Days Attended) Description: Numeric value of quantity	0	R	1/15	K12 Only
CRS18	380	Quantity (Days Absent) Description: Numeric value of quantity	0	R	1/15	K12 Only
CRS19	373	Date (Student Withdrawal Date) Description: Date expressed as CCYYMMDD Note to User: This is the date the student dropped or withdrew from the course if a withdrawal type grade is assigned. For example, if the student initiated a drop and officially dropped the course on March 28, 1998, the value of this element would be "19980328."	0	DT	8/8	Used
CRS20	1152	Override Academic Course Source Code Description: Code indicating the source of academic credit if not from	О	ID	2/2	PostSec Only

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		the sending educational institution Code NAME IA Institutional Agreement MC Multiple Campus Course Offering TC Transfer Credit				

Syntax:

C0605 -- If CRS06 is present, then CRS05 is requiredP1011 -- If either CRS10 or CRS11 is present, then the other is required.

Semantics:

- 1. CRS03 is either the value of the course or the creditsattempted, while CRS04 is the credits earned by the student for the course.
- 2. CRS07 indicates if the course is a special honors course. A "Y" indicates that the course is designated as an honors course; an "N" indicates it is not an honors course.
- 3. CRS10 and CRS11 are the content area of the course.
- 4. CRS12 is the number of academic quality (or grade) points earned by the student for participation in a course.
- 5. CRS14 and CRS15 refer to the course alpha prefix and number, respectively. These are the course numbers.
- 6. CRS16 is the course title.
- 7. CRS17 and CRS18 are the student's days attended and days absent in the course, respectively.
- 8. CRS19 is the date the student dropped the course.
- 9. CRS20 indicated if the course was taken as a transfer course at another institution or under the auspices of another institution covered by reciprocal agreement between the participating institutions.

Note to User:

All courses attempted by the student should be reported with sufficient detail to determine the quality of work performed by the student in the course. The absence of any CRS segments in the SES loop would imply that the student's attendance was so brief that no information about courses attempted was recorded.

Each course segment may be associated with the following REF segment which defines its course number.

REF

Reference Identification (Course Numbers)

Pos: 260 Max: 5 Detail - Optional Loop: N/A Elems: 4

To specify identifying information

Element Summary:

Ref _	<u>Id _</u>	Element Name	Req	Type	Min/Ma	<u>Usage</u>
REF01	128	Reference Identification Qualifier (Type of Course Number) Description: Code qualifying the Reference Identification Code NAME N1 Local School Course Number N2 Local School District Course Number N3 Statewide Course Number N4 United States Department of Education	M	ID	2/3	Must use
REF02	127	Reference Identification (Course Number) Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X	AN	1/30	Used
REF03	352	Description (Course Title) Description: A free-form description to clarify the related data elements and their content	X	AN	1/80	Used
REF04	C040	Reference Identifier Description: To identify one or more reference numbers or identification numbers as specified by the Reference Qualifier	0	Comp		Not used

Syntax:

R0203 -- At least one of REF02 or REF03 is required.

Semantics:

1. REF04 contains data relating to the value cited in REF02.

Note to User:

This occurrence of the REF segment in the PreK - Grade 12 record is used to identify the course number for each course sent in the preceding CRS segment. There may be several course numbers per course. This segment is not normally part of the postsecondary transcript since this information is sent in the CRS segment and an additional course number may be indicated in the following CSU segment.

CSU Supplemental Course Data

Pos: 270 Max: 1 Detail - Optional Loop: N/A Elems: 10

To provide supplemental information on a course or a particular instance of a course

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Ma x	Usage
CSU01	93	Name (Subject Area/Course Alpha Prefix) Description: Free-form name Note to User: This is the department or area of study associated with the course.	O	AN	1/60	Used
CSU02	127	Reference Identification (Course Number) Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier Note to User: This is the value that uniquely identifies a course within a subject area or department.	0	AN	1/30	Used
CSU03	1250	Date Time Period Format Qualifier (Format for Starting Date of Course) Description: Code indicating the date format, time format, or date and time format Note to User: CSU03 and CSU04 are used to override the Session Starting Date designated in SES06 and SES07 for the current course only. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used
CSU04	1251	Date Time Period (Starting Date of Course) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: CSU03 and CSU04 are used to override the Session Starting Date designated in SES06 and SES07 for the current course only.	X	AN	1/35	Used
CSU05	1250	Date Time Period Format Qualifier (Format for Ending Date of Course) Description: Code indicating the date format, time format, or date and time format Note to User: CSU05 and CSU06 are used to override the Session Ending Date designated in SES08 and SES09 for the current course only. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Ma x	Usage
CCTTO	10-				4 /0 =	
CSU06	1251	Date Time Period (Ending Date of Course) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: CSU05 and CSU06 are used to override the Session Ending Date designated in SES08 and SES09 for the current course only.	X	AN	1/35	Used
CSU07	1132	Instructional Setting Code	0	ID	1/2	Used
		Description: Code indicating type of instructional setting in which a program is provided Code NAME 1 Self-contained (Regular Class) 2 Resource Class 3 Separate Class 4 Public Separate School Facility 5 Private Separate School Facility 6 Public Residential Facility 7 Private Residential Facility 8 Correction Facility 9 Homebound or Hospital Environment 10 Bilingual Class 11 Departmentalized (Regular Class) 12 Center-based Instruction 13 Televised Instruction 14 Individualized Instruction 15 Independent Study 16 Laboratory 17 English as a Second Language (ESL) Class 18 Discussion 19 Residency 20 Internship 21 Practicum 22 Work Study 23 Co-operative Education 24 Clinic 25 Lecture and Laboratory 26 Lecture 27 Classroom 30 Home Study				Cscu
CSU08	1141	Academic Credit Type Code	О	ID	1/1	Used
		Description: Code indicating the type of credit used (awarded) by the sending institution Code NAME A Adult Credits C Continuing Education Unit G Carnegie Units N No Credit Q Quarter Hour Credit S Semester Hour Credit U Units V Vocational Credits X Other Type of Credit				

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Ma	Usage
		_			<u>X</u>	
CSU09	380	Quantity (Class/Course Duration) Description: Numeric value of quantity Note to User: CSU09 and CSU10 can be used to indicate class duration such as hours per day or days per week.	X	R	1/15	Used
CSU10	C001	Composite Unit of Measure (Class/Course Duration) Description: To identify a composite unit of measure. Note to User: CSU09 and CSU10 can be used to indicate class duration such as hours per day or days per week. Refer to Appendix B for a full description of data element C001.	X	Comp		Used

Syntax:

P0304 -- If either CSU03 or CSU04 is present, then the other is required.

P0506 -- If either CSU05 or CSU06 is present, then the other is required.

P0910 -- If either CSU09 or CSU10 is present, then the other is required.

Semantics:

- 1. CSU01 and CSU02 are the alpha prefix and the course number, respectively. Together, CSU01 and CSU02 are commonly referred to as the "course number".
- 2. CSU03 and CSU04 refer to the date the course began.
- 3. CSU05 and CSU06 refer to the date the course ended.

Note to User:

This segment may be used to transmit additional data about the course identified in the CRS segment, such as the instructional setting. If a course were taken at an institution other than the sending institution--for example through an inter-institutional agreement--the course may have undergone a number change at the sending institution, and this additional course number may be sent in this segment. Also, this particular course may have had different starting and/or ending dates than those indicated in the SES in this loop.

LUI Language Use (Language of Instruction)

Pos: 271 Max: 10 Detail - Optional Loop: N/A Elems: 5

To specify language, type of usage, and proficiency or fluency

Element Summary:

Ref_	<u>Id</u> _	Element Name	Req	Type	Min/Max	Usage
LUI01	66	Identification Code Qualifier (Language Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: This code designates the code list in which the language code in LUI02 appears. Code NAME LD NISO Z39.53 Language Codes LE ISO 639 Language Codes	X	ID	1/2	Used
LUI02	67	Identification Code (Language Code) Description: Code identifying a party or other code Note to User: This code indicates the language. Refer to Appendix B for the complete code list.	X	AN	2/80	Used
LUI03	352	Description (Language Name) Description: A free-form description to clarify the related data elements and their content Note to User: This is the literal name of the language used when needed for clarity or when the language is not included in the code lists identified in LUI01.	X	AN	1/80	Used
LUI04	1303	Use of Language Indicator Description: Code indicating the use of a language Code NAME 1 Language of Instruction 2 Language of Examination 3 Language in which Examination is Written	0	ID	1/2	Used
LUI05	1476	Language Proficiency Indicator Description: Code indicating language proficiency	0	ID	1/1	Not used

Syntax:

P0102 -- If either LUI01 or LUI02 is present, then the other is required. L040203 -- If LUI04 is present, then at least one of LUI02 or LUI03 is required.

Semantics:

- 1. LUI02 is the language code.
- 2. LUI03 is the name of the language.

Note to User:

This segment is used to indicate the language(s) and/or language strategies that were used in teaching the course.

RAP Requirement, Attribute, and Proficiency

Pos: 272 Max: 5 Detail - Optional Loop: N/A Elems: 7

To identify requirements, attributes, and proficiencies of students and/or courses

Ref _	Id_	Element Name	Req	Type	Min/Max	_Usage _
		_				
RAP01	1155	Educational Test or Requirement Code (Course Requirement/Attribute/Proficiency) Description: Indicates a particular national, regional, state, or local requirement Note to User: This code refers to a particular national, regional, state or local requirement for a course. Refer to Appendix B for the complete code list.	M	ID	1/3	Must use
RAP02	93	Name (Main Category of Requirement) Description: Free-form name Note to User: This is free-form text specifying the main category of the requirement, attribute, or proficiency.	0	AN	1/60	Used
RAP03	93	Name (Lesser Category of Requirement) Description: Free-form name Note to User: This is free-form text specifying a lesser category of the requirement, attribute, or proficiency,	0	AN	1/60	Used
RAP04	1413	Usage Indicator Description: Designates whether the achievement or characteristic being described is a requirement, an attribute, or a proficiency Code NAME A Attribute P Proficiency R Requirement	О	ID	1/1	Used
RAP05	1073	Yes/No Condition or Response Code (Requirement, Attribute or Proficiency Met) Description: Code indicating a Yes or No condition or response Note to User: This indicates whether or not the student or course meets the requirement, attribute or proficiency. A "Y" indicates that it was met; an "N" indicates it was not met. Code NAME No Description: Requirement, attribute or proficiency not met Y Yes Description: Requirement, attribute or proficiency met	0	ID	1/1	Used
RAP06	1250	Date Time Period Format Qualifier (Format for Date Status	X	ID	2/3	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		_				
		Assigned)				
		Description: Code indicating the date format, time format, or date and time format				
		Note to User: This code indicates the format of the date on which the				
		requirement, attribute, or proficiency was met.				
		Code NAME				
		CM Date in Format CCYYMM				
		CY Year Expressed in Format CCYY				
		D8 Date Expressed in Format CCYYMMDD				
		DB Date Expressed in Format MMDDCCYY				
RAP07	1251	Date Time Period (Date Status Assigned)	X	AN	1/35	Used
		Description: Expression of a date, a time, or range of dates, times or dates and times				
		Note to User: This date is in the format indicated in RAP06.				

Syntax:

P0607 -- If either RAP06 or RAP07 is present, then the other is required.

Semantics:

- 1. RAP02 indicates the major grouping or main category of requirement, attribute, or proficiency.
- 2. RAP03 indicates the lesser grouping of sub-category of requirement, attribute, or proficiency.
- 3. RAP04 indicates whether or not this occurrence of the segment is used to indicate satisfaction of requirement or proficiency, or to indicate the presence of an attribute.
- 4. RAP05 indicates whether or not the student or course meets the requirement, attribute or proficiency. A "Y" indicates that it was met; an "N" indicates that it was not met.

Note to User:

This segment is used to identify requirements, attributes, and proficiencies related to the student or course.

NTE Note/Special Instruction

Pos: 280 Max: 50 Detail - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	M	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, under ANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment is used to provide additional vital information about the course not otherwise transmittable.

N1 Name (Override Institution or Agency)

Pos: 290 Max: 1 Detail - Optional Loop: N/A Elems: 6

To identify a party by type of organization, name, and code

Ref_	<u>Id</u> _	Element Name	Req	Type	Min/Max	Usage
N101	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual Note to User: This is the code identifying an institution as an Override Institution. That is, the information provided in the current CRS loop pertains to an institution or agency other than the sender and other than the institution or agency identified in the SES loop (if any). Code NAME OS Override Institution; this is not the institution sending the record	M	ID	2/3	Must use
N102	93	Name (Override Institution/Agency Name) Description: Free-form name Note to User: This is the free-form name of the override institution or agency. Send this element only if it is not possible to use N103 and N104 to identify the name by code.	X	AN	1/60	Used
N103	66	Identification Code Qualifier (Institution Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: A crosswalk table for code sets 71, 72, 73, 74, CB and CS is available on diskette from AACRAO at (202) 293-9161. Code NAME 71 Integrated Postsecondary Education Data System (IPEDS) set of codes maintained by the U.S. Department of Education's National Center of Education Statistics 72 The College Board's Admission Testing Program (ATP) 73 Federal Interagency Commission on Education (FICE) number. Available from the United States Department of Education 74 American College Testing (ACT) list of postsecondary educational institutions. 75 National Center for Education Statistics (NCES) Common Core of Data (CCD) number for PreK - 12 institutions 76 The College Board and ACT 6 digit code list of secondary educational institutions 77 CB Statistics Canada Canadian College Student Information System Institution Codes CS Statistics Canada University Student Information System University Codes		ID	1/2	Used
N104	67	Identification Code (Institution Code)	X	AN	2/80	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>
		Description: Code identifying a party or other code Note to User: This code identifies the institution from the code list specified in N103.				
N105	706	Entity Relationship Code Description: Code describing entity relationship	0	ID	2/2	Not used
N106	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual	О	ID	2/3	Not used

Syntax:

R0203 -- At least one of N102 or N103 is required. P0304 -- If either N103 or N104 is present, then the other is required.

Comments:

- 1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the Institution Code Qualifier (N103) and Code (N104) must be understood by both sender and receiver.
- 2. N105 and N106 further define the type of entity in N101.

Note to User:

This segment is used if the information provided in the current CRS loop pertains to an institution or agency other than the sender and other than the institution or agency identified in the SES loop (if any).

N4 Geographic Location

Pos: 300 Max: 1 Detail - Optional Loop: N/A Elems: 6

To specify the geographic place of the named party

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
N401	19	City Name Description: Free-form text for city name	0	AN	2/30	Used
N402	156	State or Province Code Description: Code (Standard State/Province) as defined by appropriate government agency Note to User: Refer to Appendix B for the complete code list.	0	ID	2/2	Used
N403	116	Postal Code Description: Code defining international postal zone code excluding punctuation and blanks (zip code for United States) Note to User: In the United States, use ZIP codes as specified in the U.S. Postal Service Publication 65, Code Set A-5. This is available from the U.S. Postal Service, Washington, DC 20260.	0	ID	3/15	Used
N404	26	Country Code Description: Code identifying the country Note to User: Refer to Appendix B for the complete code list.	0	ID	2/3	Used
N405	309	Location Qualifier Description: Code identifying type of location	X	ID	1/2	K12 Only
N406	310	Location Identifier Description: Code which identifies a specific location	0	AN	1/30	K12 Only

Syntax:

C0605 -- If N406 is present, then N405 is required.

Comments:

- 1. A combination of either N401 through N404, or N405 and N406 may be adequate to specify a location.
- 2. N402 is required only if city name (N401) is in the U.S. or Canada.

Note to User:

This segment is used to specify the geographic location of the override institution or agency identified in the preceding N1 segment.

MKS Marks Awarded

Pos: 305 Max: 1 Detail - Optional Loop: MKS Elems: 3

To provide additional information to the receiving institution about the marks, or series of marks, a student was awarded in a particular course

Element Summary:

Ref _	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
MKS01	1304	Mark Code Type Description: Code identifying the type of mark that was awarded Code NAME School Mark Department Mark Sinal Mark Supplemental Mark Mid-term Mark Six-week Mark Examination Mark Work Experience Mark Nine Weeks' Mark Mark at Time of Withdrawal	0	ID	1/2	Used
MKS02	1148	Academic Grade Qualifier Description: The grading scale used by an academic institution for an academic course Note to User: This is based on the American Medical Colleges Admissions Services (AMCAS) grade scale, values 001 through 499. Other miscellaneous grade types in the range of 500 to 999 can be used as well. Refer to Appendix B for the complete code list	X	ID	1/3	Used
MKS03	1258	Academic Grade Description: This indicates the grade that a student received for participation in an academic course Note to User: This is the academic grade awarded to the student for the type specified in MKS01. This data element is required if MKS02 is 001 through 499. It is not required if the course was taken at another institution (transfer work) or if the value of MKS02 is 500 through 999.	X	AN	1/3	Used

Syntax:

P0203 -- If either MKS02 or MKS03 is present, then the other is required.

Note to User:

The information in the MKS segment is supplemental to the academic grade given in CRS05 and 06.

LUI Language Use (Language of Examination)

Pos: 306 Max: 1 Detail - Optional Loop: N/A Elems: 5

To specify language, type of usage, and proficiency or fluency

Element Summary:

Ref	<u>Id</u> _	Element Name	Req	Type	Min/Max	Usage
LUI01	66	Identification Code Qualifier (Language Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: This code designates the code list in which the language code in LUI02 appears. Code NAME LD NISO Z39.53 Language Codes LE ISO 639 Language Codes	X	ID	1/2	Used
LUI02	67	Identification Code (Language Code) Description: Code identifying a party or other code Note to User: This code indicates the language. Refer to Appendix B for the complete code list.	X	AN	2/80	Used
LUI03	352	Description (Name of Language) Description: A free-form description to clarify the related data elements and their content Note to User: This is the literal name of the language when needed for clarity or when the language is not included in the code lists identified in LUI01.	X	AN	1/80	Used
LUI04	1303	Use of Language Indicator Description: Code indicating the use of a language Code NAME 2 Language of Examination 3 Language in which Examination is Written	0	ID	1/2	Used
LUI05	1476	Language Proficiency Indicator Description: Code indicating language proficiency	0	ID	1/1	Not used

Syntax:

P0102 -- If either LUI01 or LUI02 is present, then the other is required. L040203 -- If LUI04 is present, then at least one of LUI02 or LUI03 is required.

Semantics:

- 1. LUI02 is the language code.
- 2. LUI03 is the name of the language.

Note to User:

This segment indicates the language of examination relating to the course in this occurrence of the MKS loop.

DEG Degree Record

Pos: 310 Max: 1 Detail - Optional Loop: DEG Elems: 5

To provide the receiving institution or agency notice of academic awards made to the student whose record is being transmitted

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
DEG01	1126	Academic Degree Code Description: Code indicating the level of postsecondary academic award earned by a student Note to User: Note that the decimal is part of the code. Code NAME 2.1 Postsecondary Certificate Or Diploma (less than one year) 2.2 Postsecondary Certificate Or Diploma (one year or more but less than four years) 2.3 Associate Degree (e.g., Associate In Arts, Associate In Science,	M	ID	3/3	Must use
		Associate In Applied Science) 2.4 Baccalaureate Degree 2.5 Baccalaureate (Honours) Degree 2.6 Postsecondary Certificate Or Diploma (one year or more but less than two years) 2.7 Postsecondary Certificate Or Diploma (two years or more but less than four years) 3.1 First Professional Degree 3.2 Post-Professional Degree 4.1 Graduate Certificate 4.2 Master's Degree 4.3 Intermediate Graduate Degree 4.4 Doctoral Degree 4.5 Post-Doctoral Degree				
DEG02	1250	Date Time Period Format Qualifier (Format for Date Degree Awarded) Description: Code indicating the date format, time format, or date and time format Note to User: This code indicates the format of the degree date which appears in DEG03. Code NAME CM Date in Format CCYYMM CY Year Expressed in Format CCYY D8 Date Expressed in Format CCYYMMDD DB Date Expressed in Format MMDDCCYY	X	ID	2/3	Used
DEG03	1251	Date Time Period (Date Degree Awarded) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: This is the date the degree was awarded in the format indicated in DEG02.	X	AN	1/35	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
DEG04	352	Description (Title of Degree) Description: A free-form description to clarify the related data elements and their content	0	AN	1/80	Used
		Note to User: This is the literal name of the degree. The field of study should not be included unless it is an actual part of the degree name. While this data element is optional, its inclusion will be very helpful to those who receive the transcript for functions other than transfer credit evaluation.				
DEG05	641	Status Reason Code (Honors Level of Degree) Description: Code indicating the status reason Note to User: This is a code indicating honors associated with the degree. This is not the place to indicate that the student was enrolled in an honors program. Omit this field if no honors are associated with the degree. Code NAME B35 Highest Honors B36 Second Highest Honors B37 Third Highest Honors	0	ID	3/3	Used

Syntax:

P0203 -- If either DEG02 or DEG03 is present, then the other is required.

Semantics:

- 1. DEG02 and DEG03 refer to the date the degree was awarded.
- 2. DEG05 indicates the type of honors the student received with the degree.

SUM Academic Summary

Pos: 320 Max: 5 Detail - Optional Loop: N/A Elems: 18

To provide summary information for an academic session, a postsecondary degree, or for the entire student academic record

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
SUM01	1141	Academic Credit Type Code Description: Code indicating the type of credit used (awarded) by the sending institution Code NAME A Adult Credits C Continuing Education Unit G Carnegie Units N No Credit Q Quarter Hour Credit S Semester Hour Credit U Units V Vocational Credits X Other Type of Credit	X	ID	1/1	Used
SUM02	1142	Academic Grade or Course Level Code (Level of Coursework) Description: Code indicating the level or type or both, of work which is reflected in the grade point average and the credit hours Code NAME 19 International Baccalaureate D Dual Level (Upper Division and Graduate) DL Dual Level (Graduate and Professional) G Graduate (postsecondary) H Higher or Upper division (postsecondary) L Lower division (postsecondary) M Work in the Major or Program P Professional R Remedial (postsecondary) U Undergraduate (postsecondary)	0	ID	1/2	Used
SUM03	1073	Yes/No Condition or Response Code (Cumulative Summary Indicator) Description: Code indicating a Yes or No condition or response	О	ID	1/1	Not used
SUM04	380	Quantity (Academic Credit Hours Included in GPA) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) included in the grade point average for this particular summary. Inclusion or exclusion of certain credits depends on the policy of the sending institution. If this element is sent, then SUM01 must be sent.	0	R	1/15	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
SUM05	380	Quantity (Academic Credit Hours Attempted or Paid For) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) included on the record for this particular summary. This would normally be all credits for which the student paid, whether or not the credits were used to calculate the grade point average. Examples of credit hours to be included, if available, are credits for which non-punitive grades such as "I" or "W" or "Audit" were awarded.	0	R	1/15	Used
SUM06	380	If this element is sent, then SUM01 must be sent. Quantity (Academic Credit Hours Earned) Description: Numeric value of quantity Note to User: This is the total number of credits (credit hours) attempted and earned and included in this summary.	0	R	1/15	Used
SUM07	740	Range Minimum (Lowest Possible Grade Point Average) Description: The value specifying the minimum of the measurement range Note to User: This is the lowest possible grade point average (GPA) that a student could obtain on the institution's grading scale. For example, on the typical, traditional 4.0 scale, this would be 0. However, on some scales this could be a negative number, such as -1. This field must be included if that default assumption is not accurate. The "R" data type indicates that the decimal should be included unless the value is a whole number.	X	R	1/20	Used
SUM08	741	Range Maximum (Highest Possible Grade Point Average) Description: The value specifying the maximum of the measurement range Note to User: This is the highest possible grade point average (GPA) that a student could obtain on the institution's grading scale. For example, on the typical, traditional 4.0 scale, this would be 4.0. On some scales this might be 3, 100, or 4.5. Some institutions have a policy that will allow a weighted grade for an honors course. This should be indicated in SUM10.	X	R	1/20	Used
SUM09	1144	Academic Grade Point Average Description: The grade point average of a student	0	R	1/6	Used
SUM10	1073	Yes/No Condition or Response Code (Excessive GPA Indicator) Description: Code indicating a Yes or No condition or response Note to User: This indicates that an A+ grade has a value higher than the highest possible GPA or that certain courses have a higher value than regular courses. Code NAME No Description: It is not possible to have a higher GPA than	0	ID	1/1	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
		indicated in SUM08. Y Yes Description: It is possible to have a higher GPA than indicated in SUM08.				
SUM11	1145	Class Rank Description: This is a student's numerical class rank with respect to the degree earned, with the highest student in the class having a rank or position of 1	0	N0	1/4	Used
SUM12	380	Quantity (Total Number of Students in Class) Description: Numeric value of quantity Note to User: This is the total number in the class to help position the student's rank and would not be used if SUM11 is not used.	0	R	1/15	Used
SUM13	1250	Date Time Period Format Qualifier (Format for Date of Class Ranking) Description: Code indicating the date format, time format, or date and time format Note to User: SUM 13 is sent only if class rank information in SUM11, 12 and 14 is sent.	X	ID	2/3	Not used
SUM14	1251	Date Time Period (Date of Class Ranking) Description: Expression of a date, a time, or range of dates, times or dates and times Note to User: SUM 14 is sent only if class rank information in SUM11, 12, and 13 is sent.	X	AN	1/35	Not used
SUM15	607	Number of Days (Days Attended) Description: Count of Days	0	N0	1/3	Not used
SUM16	380	Quantity (Days Absent) Description: Numeric value of quantity	0	R	1/15	Not used
SUM17	380	Quantity (Quality Points Used to Calculate GPA) Description: Numeric value of quantity Note to User: Indicates the number of quality points used to calculate the student's grade point average in SUM09.	0	R	1/15	Not used
SUM18	1558	Academic Summary Source Description: Code used to indicate the source of the summary data	0	ID	1/1	Not used

Syntax:

C0401 -- If SUM04 is present, then SUM01 is required.

C0501 -- If SUM05 is present, then SUM01 is required.

C0601 -- If SUM06 is present, then SUM01 is required.

P0708 -- If either SUM07 or SUM08 is present, then the other is required.

P1314 -- If either SUM13 or SUM14 is present, then the other is required.

Semantics:

- 1. SUM03 indicates if this is a summary for entire record up to that point in time. A "Y" indicates that this is a cumulative summary of the academic record up to that point; an "N" indicates that this is not a cumulative summary, but is a session.
- 2. SUM04 refers to credits used to compute grade point average.
- 3. SUM05 refers to credits attempted.
- 4. SUM06 refers to credits earned.
- 5. SUM07 and SUM08 are the lowest and highest, respectively, grade point averages possible under normal conditions.
- 6. SUM10 indicates if it is possible to have a grade point average higher than the maximum range. A "Y" indicates that it is possible to have a grade point average higher than the maximum range; an "N" indicates that it is not possible.
- 7. SUM11 refers to the student's position or rank in the class, while SUM12 refers to the total number in the class.
- 8. SUM14 refers to the date of the class ranking.
- 9. SUM15 is the days attended.
- 10. SUM16 is the days absent.
- 11. SUM17 indicates quality points used to compute the student's grade point average.

Note to User:

This segment may be used to send summary totals for all work included for the postsecondary degree or for only portions of the work toward the degree.

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FOS Field of Study

Pos: 330 Max: 30 Detail - Optional Loop: N/A Elems: 7

To provide the receiving institution or agency with information about a course or field of study associated with an academic program

<u>Ref</u>	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
FOS01	1153	Academic Field of Study Level or Type Code Description: Code indicating the field of study being described for a student in an academic institution by indicating how it was used in the academic program or indicating a course area in a field of study Code NAME Concentration E Endorsement G Graduate Non-degree L Licensing M Major N Minor S Specialization T Teaching V Visiting Scholar	M	ID	1/1	Must use
FOS02	66	Identification Code Qualifier (Field of Study Code Set) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: This element indicates the code set from which the field of study code in FOS03 will be selected. Code NAME 81 Classification of Instructional Programs (CIP) coding structure maintained by the U.S. Department of Education's National Center for Education Statistics 82 Higher Education General Information Survey (HEGIS) maintained by the U.S. Department of Education's National Center for Education Statistics CA Statistics Canada Canadian College Student Information System Course Codes CC Statistics Canada University Student Information System Curriculum Codes ZZ Mutually Defined	X	ID	1/2	Used
FOS03	67	Identification Code (Field of Study) Description: Code identifying a party or other code Note to User: This element specifies the code for the field of study associated with the award. This code was selected from the code set indicated in FOS02.	X	AN	2/80	Used
FOS04	352	Description (Field of Study Literal) Description: A free-form description to clarify the related data elements and their content	X	AN	1/80	Used

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
		Note to User: This element specifies the field of study in free-text format if it cannot be identified using FOS02 and 03.				
FOS05	352	Description (Field of Study Honors Literal) Description: A free-form description to clarify the related data elements and their content Note to User: This element gives in free-form text honors awarded to the student in the specific field of study.	0	AN	1/80	Used
FOS06	380	Quantity (Years of Study) Description: Numeric value of quantity	0	R	1/15	Not used
FOS07	380	Quantity (Grade Point Average) Description: Numeric value of quantity Note to User: This elements gives the grade point average in the specific field of study.	0	R	1/15	Used

Syntax:

P0203 -- If either FOS02 or FOS03 is present, then the other is required.

R0204 -- At least one of FOS02 or FOS04 is required.

Semantics:

- 1. FOS02 and FOS03 are the student's program of study.
- 2. FOS06 indicates the number of years a course or field of study was studied.
- 3. FOS07 indicates GPA in the course or field of study.

Note to User:

This segment relates specifically to the field of study associated with the academic awards made to the student.

N1 Name (Name of Institution Awarding Degree)

Pos: 335 Max: 1 Detail - Optional Loop: N/A Elems: 6

To identify a party by type of organization, name, and code

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	<u>Usage</u>
N101	98	Entity Identifier Code (Override Institution) Description: Code identifying an organizational entity, a physical location, property or an individual Note to User: This is the code identifying an institution as an Override Institution. That is, the information provided in the current DEG loop pertains to an institution or agency other than the sender and other than the institution or agency identified in the SES loop (if any). Code NAME OS Override Institution	M	ID	2/3	Must use
N102	93	Name (Name of Institution/Agency) Description: Free-form name Note to User: This is the free-form name of the override institution or agency. Send this element only if it is not possible to use N103 and N104 to identify the name by code.	X	AN	1/60	Used
N103	66	Identification Code Qualifier (Institution Code Qualifier) Description: Code designating the system/method of code structure used for Identification Code (67) Note to User: A crosswalk table for code sets 71, 72, 73, 74, CB and CS is available on diskette from AACRAO at (202) 293-9161. Code NAME 71 Integrated Postsecondary Education Data System (IPEDS) set of codes maintained by the U.S. Department of Education's National Center of Education Statistics 72 The College Board's Admission Testing Program (ATP) 73 Federal Interagency Commission on Education (FICE) number. Available from the United States Department of Education 74 American College Testing (ACT) list of postsecondary educational institutions. CB Statistics Canada Canadian College Student Information System Institution Codes CS Statistics Canada University Student Information System University Codes		ID	1/2	Used
N104	67	Identification Code (Institution Code) Description: Code identifying a party or other code Note to User: This code identifies the institution from the code list specified in N103.	X	AN	2/80	Used

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	<u>Usage</u>
		_				
N105	706	Entity Relationship Code Description: Code describing entity relationship	0	ID	2/2	Not used
N106	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual	0	ID	2/3	Not used

Syntax:

R0203 -- At least one of N102 or N103 is required.

P0304 -- If either N103 or N104 is present, then the other is required.

Comments:

- 1. This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the Institution Code Qualifier (N103) and Code (N104) must be understood by both sender and receiver.
- 2. N105 and N106 further define the type of entity in N101.

Note to User:

This segment identifies the institution awarding the degree if it is other the institution sending the transcript.

NTE Note/Special Instruction

Pos: 340 Max: 30 Detail - Optional Loop: N/A Elems: 2

To transmit information in a free-form format, if necessary, for comment or special instruction

Element Summary:

Ref	<u>Id</u>	Element Name	Req	Type	Min/Max	Usage
NTE01	363	Note Reference Code Description: Code identifying the functional area or purpose for which the note applies	0	ID	3/3	Not used
NTE02	352	Description Description: A free-form description to clarify the related data elements and their content	M	AN	1/80	Must use

Comments:

1. The NTE segment permits free-form information/data which, under ANSI X12 standard implementations, is not machineprocessable. The use of the NTE segment should therefore be avoided, if at all possible, in an automated environment.

Note to User:

This segment is used to provide additional vital information about the degree or the summary or the field of study for the degree not otherwise transmittable.

SE Transaction Set Trailer

Pos: 350 Max: 1 Detail - Mandatory Loop: N/A Elems: 2

To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Element Summary:

Ref	<u>Id</u>	Element Name	Req	<u>Type</u>	Min/Max	Usage
SE01	96	Number of Included Segments Description: Total number of segments included in a transaction set including ST and SE segments	М	N0	1/10	Must use
SE02	329	Transaction Set Control Number Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	М	AN	4/9	Must use
		Note to User: This should contain the same value as that transmitted in ST02. It will also be used in the acknowledgment so that the sender will know that the receiving institution did receive this student's record.				

Comments:

1. SE is the last segment of each transaction set.